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11	Biochar	application	to soil for	climate	change	mitigation	by soil	l organic	carbon

## 12 sequestration

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#### 23 Abstract

24 Pyrogenic carbon (C) is produced by incomplete combustion of fuels including organic matter (OM). Certain ranges in the combustion continuum are termed black carbon (BC). Because of 25 its assumed persistence, surface soils in large parts of the world contain BC with up to 80% of 26 surface soil organic carbon (SOC) stocks and up to 32% of sub-soil SOC in agricultural soils 27 consisting of BC. High SOC stocks and high levels of soil fertility in some ancient soils 28 containing charcoal (e.g., terra preta de Índio) have recently been used as strategies for soil 29 applications of biochar, an engineered BC material similar to charcoal but with the purposeful 30 use as a soil conditioner (i) to mitigate increases in atmospheric carbon dioxide (CO<sub>2</sub>) by SOC 31 32 sequestration and (ii) to enhance soil fertility. However, effects of biochar on soils and crop productivity cannot be generalized as they are biochar-, plant- and site-specific. For example, 33 the largest potential increases in crop yields were reported in areas with highly weathered 34 35 soils, such as those characterizing much of the humid tropics. Soils of high inherent fertility, characterizing much of the world's important agricultural areas, appear to be less likely to 36 37 benefit from biochar. It has been hypothesized that both liming and aggregating/moistening effects of biochar improved crop productivity. Meta-analyses of biochar effects on SOC 38 sequestration have not yet been reported. To effectively mitigate climate change by SOC 39 40 sequestration, a net removal of C and storage in soil relative to atmospheric CO<sub>2</sub> must occur and persist for several hundred years to a few millennia. At deeper soil depths, SOC is 41 characterized by long turnover times, enhanced stabilization, and less vulnerability to loss by 42 decomposition and erosion. In fact, some studies have reported preferential long-term 43 accumulation of BC at deeper depths. Thus, it is hypothesized that surface applied biochar-C 44 (i) must be translocated to sub-soil layers and (ii) result in deepening of SOC distribution for a 45 notable contribution to climate change mitigation. Detailed studies are needed to understand 46 how surface applied biochar can move to deeper soil depths, and how its application affects 47

organic C input to deeper soil depths. Based on this knowledge, biochar systems for climate
change mitigation through SOC sequestration can be designed. It is critically important to
identify mechanisms underlying the sometimes observed negative effects of biochar
application on biomass, yield and SOC as biochar may persist in soils for long periods of time
as well as the impacts on downstream environments and the net climate impact when biochar
particles become airborne.

#### 54 **1 Introduction**

55 Soils may receive black carbon (BC) and other forms of combustion-derived or pyrogenic

56 carbon (C) (Preston and Schmidt, 2006). Aside from fossil C, combustion-derived C

57 compounds may be the only non-mineral-associated soil organic matter (SOM) component

that may be persistent in soil (*Marschner* et al., 2008). BC constitutes between 0% and about

59 80% of soil organic carbon (SOC) in surface soils (*Krull* et al., 2008). However, no common

60 definition for BC exists. The BC in soils can be method-defined as a carbonaceous substance

of pyrogenic origin which is resistant to thermal or chemical degradation under conditions

62 specified by the analytical methods (Table 1; *Hammes* and *Abiven*, 2013).

63 Heating of solid fuels (i.e., biomass) in an oxygen-deficit environment (pyrolysis) has been

traditionally used to produce charcoal, a residual form of C in solid form (Table 1; *Spokas*,

65 2010). Some charcoal particles found in soil can reach radiocarbon ages of thousands of years

66 (*Schmidt* and *Noack*, 2000). However, it is unclear how much charcoal vs. organic waste

additions have contributed to high levels of soil fertility and relatively high SOC stocks of

68 *terra preta de Índio (terra preta)* of Central Amazonia, Plaggenesch soils (Plaggic

69 Anthrosols) of North-West Europe, and Terra Preta Australis soils (Sombroek, 1966;

70 Davidson et al., 2006; Downie et al., 2011; Glaser and Birk, 2013). Even so, engineered BC

or 'biochar' currently receives increased attention as a soil conditioner to (i) mitigate

atmospheric increases in carbon dioxide (CO<sub>2</sub>) by causing a net increase in SOC, and (ii)
enhance soil fertility and resilience of crop land (*Sohi*, 2012).

Biochar can be defined as a C-enriched, fine-grained and porous by-product of slow pyrolysis 74 75 when organic material (feedstock) is thermally decomposed at low-moderate temperatures during long heating times under limited supply of oxygen (Sohi et al., 2010). Feedstock may 76 include wood chips and wood pellets, tree bark, crop residues, energy crop, organic wastes, 77 78 chicken litter, dairy manure or sewage sludge. For a particular feedstock, biochar properties 79 depend on the extent of pyrolysis (i.e., peak process temperature), and its completeness on particle size of the material and heating time. In particular, the fused aromatic ring cluster size 80 81 of biochars depends on the specific production process (Brewer et al., 2009). However, no consistent definition of biochar exists as feedstock and process conditions vary widely 82 (Kookana et al., 2011). In contrast to charcoal and other BC forms, biochar is added to soil for 83 84 its benefits of C sequestration and subsequent soil quality improvements (Spokas, 2010). Thus, biochar can be defined as charcoal for which scientific consensus exists that application 85 to soil at a specific site is expected to substantially sequester C and concurrently improve soil 86 functions while avoiding detrimental effects (Table 1; Verheijen et al., 2009). In essence, the 87 new term biochar describes exactly the same material as the term charcoal while the 88 89 difference is the purpose of use (IBI, 2012).

BC is considered by some as a very stable component of SOC but soil BC cannot be viewed
as being generally inert (*Lehmann*, 2007; *Czimczik and Masiello*, 2007). However, BC's
decomposition pathways remain a mystery (*Schmidt* et al., 2011). For example, a major
portion of the annual charcoal production from biomass burning may not be contributing to
soil BC but be lost via dissolution and subsequent transport to the oceans (*Jaffé* et al., 2013).
This limited understanding of soil BC loss is also indicated by simple mass balance
calculations based on BC production rates since the Last Glacial Maximum and assumed BC

recalcitrance indicating that BC should compromise theoretically between 25% and 125% of 97 98 SOC (Masiello and Druffel, 2003). For the long-term storage of BC in soil, the chemical resistance may be less important than physical protection and interaction with soil minerals 99 100 (Czimczik and Masiello, 2007; Cusack et al., 2012). However, monitoring temporal changes in soil BC is (i) challenging as the chemistry of precursor compounds varies along the 101 combustion continuum, and (ii) difficult relative to SOM, i.e., the complex mixture of organic 102 103 compounds with a wide distribution of molecular properties and residence times (Behre and 104 *Kleber*, 2013). For example, char and soot may have overlapping properties such as specific surface area and oxidative kinetics depending on formation conditions (Hammes et al., 2007). 105 106 Similarly, biochar possesses a range of chemical structures (Spokas, 2010). While some BC quantification methods have the potential to differentiate charcoal C from soot C in soil, the 107 differentiation from diagenetic C (e.g., lignite and bituminous coal C) remains challenging 108 109 (Roth et al., 2012). Importantly, no single correct method exists for quantification of BC (Hammes and Abiven, 2013) 110 For the first time in Europe, the Swiss Federal Ministry of Agriculture officially approved in 111 112 2013 the use of certified biochar in agriculture (http://www.ithaka-journal.net/schweizbewilligt-pflanzenkohle-zur-bodenverbesserung?lang=en). Approval is based on strict, 113 114 scientifically checked requirements with regard to the sustainability of biochar production, to biochar quality and to user protection in its application. Further, the European Biochar 115 Certificate has been developed to become the voluntary European industrial standard ensuring 116 a sustainable biochar production and low hazard use in agronomic systems 117 (http://www.european-biochar.org/en). However, there is currently no coherent EU policy 118 addressing biochar (Vereš et al., 2013), and biochars' classification as waste blocks, de facto, 119 its agronomic utilization (Montanarella and Lugato, 2013). In the U.S., some biochar 120 production systems have been recommended for generating C offsets by soil sequestration 121

(De Gryze et al., 2010). Also, proposed U.S. federal legislation to comprehensively address 122 123 energy and climate change (i.e., the American Power Act) included "projects for biochar production and use" to be considered for domestic C offset programs (Gurwick et al., 2012). 124 Recently, the International Biochar Initiative (IBI) certified the first biochar material for 125 effective use as a soil amendment for a California-based developer of small-scale bio-126 127 refineries for the conversion of non-food biomass into biofuels and biochar 128 (http://www.biochar-international.org/certification). Commercially available in the U.S. is 129 Maxfield's soil conditioner, a product with about 10% biochar (Maddox, 2013). Further, large-scale biochar production from crop straw is now commercially available in China (Pan 130 131 et al., 2011). However, the biochar price is high and would not be balanced by the potential economic gains based on average yield improvements and current prices for CO<sub>2</sub> (Liu et al., 132 2013). Thus, biochar has not yet made a substantial entry into large-scale agricultural 133 operations (IBI, 2014). 134

Globally, Woolf et al. (2010) estimated a maximum sustainable technical potential of biochar 135 to significantly mitigate climate change. Further, biochar has also been considered as a 136 geoengineering solution for climate-change mitigation because it is assumed to result in the 137 net removal of CO<sub>2</sub> from the atmosphere (Downie et al., 2012). However, recommendations 138 139 regarding soil C offsets and mitigation potential cannot be generalized as biochar composition is variable, and it is not known how long a particular biochar particle remains stable in a 140 particular soil (Sohi, 2012; Schimmelpfenning and Glaser, 2012; Spokas et al., 2012). In fact, 141 interactions between biochar, soil, microorganisms and plant roots are biochar-, plant- and 142 site-specific (Joseph et al., 2010). Soil addition of biochar may result in 'SOC sequestration' 143 by causing a net additional long-term (i.e., >100 y) removal of CO<sub>2</sub> from the atmosphere and 144 C storage in the SOC pool as this process is a genuine contribution to climate change 145 mitigation (Powlson et al., 2011; Stockmann et al., 2013). However, Mackey et al. (2013) 146

suggested that for climate change mitigation  $CO_2$  must remain stored for much longer (>10,000 y). Also for C management, an intrinsic stability for at least 2000 y should be a key feature of biochar (*Schimmelpfenning* and *Glaser*, 2012). Aside from soil sequestration, the geological sequestration of biochar has recently been proposed for climate change mitigation (*Dufour*, 2013).

152 The objectives of the article are: (i) to briefly discuss what the term 'SOC sequestration' means with regard to climate change mitigation, and (ii) to collate information about both 153 154 direct and indirect effects of biochar application on 'SOC sequestration' in agricultural soils. Examples of field experiments, where fertilizer was not applied simultaneously, will be 155 156 discussed predominantly. The interactions between biochar and fertilizer are reviewed elsewhere (e.g., Biederman and Harpole, 2013). The article concludes with an overview of 157 research gaps that need to be addressed to realize the full potential of biochar for climate 158 159 change mitigation by 'SOC sequestration'. Rigorous studies are needed on direct biochar-C inputs at deeper soil depths and indirect increases in subsoil SOC resulting from biochar 160 application as 'SOC sequestration' at deeper depths may usefully contribute to climate change 161 mitigation. As only a limited number of studies about the potential of biochar for long-term 162 SOC sequestration is available, studies dealing with char types of comparable major 163 164 properties (i.e., BC, charcoal) will also be discussed. Thus, the term biochar will be used interchangeably with the terms BC and charcoal. 165

#### 166 2 The Meaning of Soil Organic Carbon Sequestration for Climate Change Mitigation

'Carbon sequestration' is one of the most important concepts in studies of climate change
(*Krna* and *Rapson*, 2013). Since CO<sub>2</sub> accounts for about 60% of greenhouse gas (GHG)
emissions, reducing the net increase in atmospheric CO<sub>2</sub> concentration by 'C sequestration'
can be an effective mitigation strategy for climate change and for moderating anthropogenic
alterations of the global C cycle. However, there is little consensus in the literature what the

term 'C sequestration' means. For example, *Krna* and *Rapson* (2013) defined 'endogenous C
sequestration' as when non-temporarily utilized biologic C (i.e., C absent from living
organism and not used in growth) fixed from the atmosphere is greater than the release of C to
the atmosphere over a specified time period (minimally annual) and within a given system. A
major issue is how long C must be sequestered in a system (i.e., land, soil) to usefully
contribute to climate change mitigation (*Mackey* et al., 2013).

Some of the organic C recently fixed by photosynthesis in a terrestrial ecosystem is not 178 179 rapidly returned to the atmosphere by respiration but remains in stabilized forms such as in biomass and soil. The biologically-mediated uptake and conversion of CO<sub>2</sub> to inert, long-180 181 lived, C-containing materials is called 'biosequestration' (U.S. DOE, 2008). Biosequestration temporarily removes C from active cycling. Thus, 'C sequestration' can be defined as the 182 uptake of C-containing substances and, in particular, CO<sub>2</sub> into another reservoir with a longer 183 184 residence time (IPCC, 2007). Any increase in the C content of a reservoir in an ecosystem might be referred to as sequestration as C is held in the reservoir and separated from other 185 parts of the ecosystem (Powlson et al., 2011). However, it has become customary for the term 186 C sequestration to imply a contribution to climate change mitigation. For this reason, C 187 sequestration must slow or even reverse the increase in atmospheric concentration of CO<sub>2</sub>. 188 189 Thus, movement of C from one reservoir in the ecosystem to another should be appropriately termed accumulation whereas an additional transfer of C from the atmosphere into a reservoir 190 should be termed sequestration as this process is a genuine contribution to climate change 191 mitigation (Powlson et al., 2011). 192

193 The C sequestered in soil may in reality not always be locked up in a stable and inert form 194 (*Krna* and *Rapson*, 2013). In fact, sequestered C may reside within a soil store or pool, to 195 which C is constantly being added and removed. Effectively an individual C atom has a 196 residence time within the C pool, with the whole pool continuously turning over at rates of up

to thousands of years (Campbell, 1967). However, there is a lack of consensus over the period 197 198 for which C has to be immobilized in soil before it is considered to be sequestered (Krna and Rapson, 2013). For example, if C is to be usefully stored for climate change mitigation, it may 199 200 remain stored not just for 100 y, but probably for more than 10,000 y (Mackey et al., 2013). Specifically, a 'pulse' or unit of CO<sub>2</sub> emitted to the atmosphere is only fully removed from 201 202 the atmosphere so that it no longer interacts with the climate system when it has been 203 completely dissolved in the deep ocean — a process requiring the concurrent dissolution of 204 carbonate from ocean sediments (about 5,000 to 10,000 y) and enhanced weathering of silicate rocks (around 100,000 y) (Mackey et al., 2013). Thus, SOC sequestration requires that 205 206 C must persist for very long periods of time in soil by stabilization processes that reduce the probability and, therefore, rate of SOC decomposition (Schmidt et al., 2011). The SOC 207 208 stabilization mechanisms possess, in particular, the ability to increase the residence time of a 209 given C atom within soil compared to a reference situation (Berhe and Kleber, 2013). There is increasing evidence that not the intrinsic properties of SOC itself but rather 210 211 physicochemical and biological influences allow SOC to persist. For example, Courtier-212 Murias et al. (2013) emphasized that the main mechanism by which soil C inputs are stabilized and SOC accrues is the adsorption of microbial biomass and microbial by-products 213 214 on mineral surfaces rather than the physical and chemical protection of undecayed or partially degraded organic structures. Organic amendments may increase more than previously thought 215 the microbial populations of the soil, which live, thrive, and die in close association with the 216 mineral surfaces. The joint physical-chemical mechanism of SOC stabilization may be 217 enhanced by the addition of organic materials relatively richer in compounds with molecular 218 structures and/or assemblies more resistant to decomposition (Courtier-Murias et al., 2013). 219 Thus, the association of SOC with minerals may be the most important factor in SOC 220 stabilization, and stability of SOC may increase with increase in soil depth, irrespective of 221

vegetation, soil type, and land use (Schrumpf et al., 2013). However, the reasons for the very 222 223 long turnover times of SOC in subsoil horizons and its increases with increase in soil depth (common radiocarbon ages 1,000 y to >10,000 y) are not completely understood (Rumpel and 224 225 Kögel-Knabner, 2011; Schmidt et al., 2011). The alterations of the relative magnitude of soil C pools with different residence times 226 227 potentially aide in SOC sequestration (Stockmann et al., 2013). This process may be enhanced by BC particles (biochar, charred biomass, charcoal, soot) as they contain C-based 228 229 compounds with prolonged residence time such as condensed aromatic structures. Thus, SOC sequestration in agroecosystems potentially occurs through pyrolysis of biotically captured 230 231 organic material to form biochar, which is then moved to the soil via anthropic means (Krna and Rapson, 2013). However, a biochar deemed 'stable' in one soil is not guaranteed to be so 232 if deposited in another soil with different micro-climatic or environmental conditions. 233 234 Specifically, the molecular composition of organic matter (OM) and its decomposition pathways are related and coevolve over time as the ecosystem adapts and evolves to the ever 235 236 changing biological, physical, and chemical conditions surrounding SOM (Eastwood et al., 237 2011). Consequently, soil microorganisms can only use those substrates that they are adapted to (Berhe and Kleber, 2013). Thus, at least a portion of added biochar may be decomposed by 238 239 a soil microbial community when it has evolved in the presence of biochar-like materials as was indicated by an incubation experiment using two <sup>13</sup>C-labelled biochars produced from 240 wheat (Triticum ssp.) or eucalypt (Eucalyptus ssp.) shoots (Farrell et al., 2013). Further, soil 241 bacteria considered well-adapted for aromatic-C degradation were capable of rapidly 242 243 metabolizing pyrogenic organic matter (PyOM) made from ponderosa pine (Pinus ponderosa Douglas ex C.Lawson) wood (Santos et al., 2012). However, the question is how long-term 244 (millennial) stability of biochar is possible despite the decomposition and mineralization 245 capabilities of soil microorganisms. Importantly, as the molecular structure of a SOC 246

compound alone does not control the residence time and its stability, it is debatable how 247 248 successful a climate change mitigation strategy based on SOC sequestration by application of biochar might be (Schmidt et al., 2011; Krna and Rapson, 2013). In conclusion, biochar may 249 only contribute to climate change mitigation by SOC sequestration if biochar-C itself is stable 250 in a soil for long periods of time (millennia) and/or the interaction of biochar with the soil 251 results for long periods of time (millennia) in a net additional C storage in the SOC pool 252 253 relative to the atmospheric CO<sub>2</sub> pool. Supposedly, deep soil horizons are the most important 254 compartments where SOC sequestration for climate change mitigation by biochar application may occur. 255

## 256 3 Effects of Biochar Application on Soil Organic Carbon in Agricultural Soils

Soil application of biochar can directly and indirectly affect SOC dynamics as summarized in
Table 2. Indirectly, biochar may alter soil C inputs by affecting net primary production (NPP)
and, thus, the amount of biomass that may remain in agroecosystems. Higher belowground
NPP and increased root-derived C inputs following biochar application may particularly result
in an increase in SOC (*Sohi* et al., 2010). Directly, biochar may enhance SOC stabilization
processes and contribute to SOC sequestration by increasing the mean residence time (MRT)
of SOC (i.e., the mean time that a SOC-C atom spends in soil).

The MRT of biochar-C is thought by some to be in the range of millennia (*Glaser* and *Birk*,
2013). However, biochar longevity in soil is less well known and needs to be verified for a
range of biochars and sites. For example, the MRTs of BC in field experiments ranged from
about 8 y for BC produced by burning of forest trees during slash-and-burn agricultural
practices (*Nguyen* et al., 2008) to 3600 y for BC produced from prunings of old mango
(*Mangifera indica* L.) trees (*Major* et al., 2010). Only 7 among 311 primary research papers
reviewed by *Gurwick* et al. (2013) reported field investigations of biochar stability in soil.

271 Modelling approaches based on data from pyrogenic C degradation studies indicated that

pyrogenic C cannot be assumed to persist in soil for millennia (*Singh* et al., 2012).

273 Specifically, differences in climatic conditions may affect biochar longevity. For example,

chemical and/or biological mineralization of natural chars produced from wood during

bushfires was slower under Mediterranean compared to temperate climates in Australia

276 (*McBeath* et al., 2013). In summary, assuming that biochar persists in soil 100 y or more is

277 not supported by the very few data available to evaluate the *in situ* stability of biochar

278 (*Gurwick* et al., 2013).

## 279 3.1 Biochar Effects on Plant Biomass Production

The amount of plant biomass produced, the exudation of C from plant roots and C transfer 280 from plants to root symbionts are major determinants of soil C input in agroecosystems (Ciais 281 et al., 2010). Thus, soil application of biochar causing an increase in photosynthetic C 282 fixation, and in plant and root-derived soil C inputs may indirectly enhance the amount of 283 284 CO<sub>2</sub> that is stored as SOC. For example, *Oguntunde* et al. (2004) reported higher grain yield for maize (Zea mays subsp. mays) growing in unfertilized charcoal kiln sites soils compared 285 to maize growing in unfertilized control soils. However, a review by Mukherjee and Lal 286 (2014) indicated that reductions in crop yield are also observed after biochar is applied 287 together with fertilizer to soil. 288

289 The variability in agricultural productivity following biochar application is high, but the 290 impacts of soil properties, climatic conditions and plant species for the yield response are less well known (Blackwell et al., 2009). The majority of biochar studies have been undertaken in 291 tropical and subtropical regions, and extrapolation of biochar effects on yield in temperate 292 regions is unclear (Jeffery et al., 2011). Further, the majority of data about the effects of 293 biochar application on crop productivity have been published since 2010, and some are 294 295 potentially biased due to highly skewed feedstock preferences and the fact that studies showing no significant effects are often not considered for publication (Jeffery et al., 2011; 296

Spokas et al., 2012; Liu et al., 2013). In particular, there are only a few studies monitoring 297 298 crop yield responses (i) after soil addition of non-hardwood and crop residue biochars, (ii) produced with advanced pyrolysis systems, (iii) for medium-term to long-term (for longer 299 300 than 2 y), (iv) in temperate regions, and (v) by comparing to un-treated controls in field experiments (Biederman and Harpole, 2013; Atkinson et al., 2010; Jeffery et al., 2011; Spokas 301 et al., 2012; *Liu* et al., 2013). Thus, the relationship between biochar and crop productivity is 302 303 not comprehensively studied in well-designed field experiments and, therefore, is poorly 304 understood. The magnitude and relative importance of the mechanisms affecting crop productivity depend on the slow process of biological, chemical and physical modification of 305 306 biochar in soil. It has been hypothesized that reduction in soil acidity by increase in soil pH ("liming effect"), and improvements in nutrient availability, cation exchange capacity (CEC), 307 308 soil field capacity and habitat for soil microorganisms are major reasons for productivity 309 improvements. Some recently published meta-analyses will be briefly discussed in the following section. 310

311 Results of a meta-analysis of 16 short-term field and pot experiments (>90% of the studies ran 312 for only one growing season) indicate that biochar application to soils without fertilizer coaddition have small positive effects on crop productivity (both harvested yields and 313 314 aboveground biomass production) with an increase of about 10% (*Jeffery* et al., 2011). 315 Especially, biochar made from wood, paper pulp, woodchips and poultry (*Gallus domesticus*) litter had positive effects on crop productivity. The main mechanisms for yield increases 316 discussed were a liming effect and an improved water holding capacity of the soil, along with 317 318 improved crop nutrient availability (Jeffery et al., 2011).

The meta-analysis by *Jeffery* et al. (2011) was recently updated by a meta-analysis adding 87

more studies and 703 more pairs of data on yield and aboveground biomass (crop

321 productivity) response to biochar in field and pot experiments (*Liu* et al., 2013). However,

studies without the co-addition of fertilizer were not analyzed separately. About half of the 322 323 experiments were conducted over 1 y and the longest over 4 y. On average, crop productivity increased by 11%, consistent with the results of Jeffery et al. (2011). However, the crop 324 productivity response was only 9.1% in field experiments vs. 11.1% in pot experiments. 325 Further, crop productivity in the field increased only in years one and two following biochar 326 application but not in years three and four. Much higher crop productivity increase was 327 328 observed in sandy than in finer textured soils, and in acid (pH<5.0) than in neutral soils. 329 Manure, wood and crop residue biochars resulted in crop productivity increases by 29.0%, 12.1% and 2.6%, respectively. In contrast, applying municipal waste biochar resulted in crop 330 331 productivity reductions by 12.8%. Wood residue biochars produced at temperatures of >350 °C and crop residue biochars produced at >550 °C showed greater crop productivity 332 increases, respectively. Productivity was also increased for manure biochar produced at 333 334 temperatures in a range of 350-550 °C. While non-alkaline biochars (pH<7.0) caused a reduction in crop productivity, applying alkaline biochars (pH>7.0) resulted in crop 335 336 productivity increases. The crop productivity responses were not proportional to biochar application rates up to 20-40 Mg ha<sup>-1</sup>, but the productivity increases diminished at application 337 rates  $>40 \text{ Mg ha}^{-1}$ . Higher responses were observed in acid and sandy textured soils, and for 338 339 dry land crops than for irrigated crops or paddy rice. Thus, *Liu* et al. (2013) concluded that 340 both a liming and an aggregating/moistening effect contribute to crop productivity increases after biochar application. However, long-term field studies in a wide range of agricultural 341 conditions would be needed to monitor the persistence of biochar effects. Further, crop 342 343 productivity responses observed in pot and greenhouse experiments must be critically assessed with regard to the applicability of observations to those in field experiments (Liu et 344 al., 2013). 345

Another recent meta-analysis evaluated the short-term effects (average length 113.4 days) of 346 347 'biochar' on plant productivity and nutrient cycling by analyzing results from 371 experiments (Biederman and Harpole, 2013). The 'biochar' in this study included char, BC, 348 349 charcoal and 'agchar'. On average, aboveground biomass increased in 'biochar'-treated soils by about 25% independently of fertilization as there was limited evidence of a synergistic 350 effect when both 'biochar' and fertilizer are applied. 'Biochars' from grass and 351 352 manure/sewage, in particular, increased aboveground productivity. However, effects on overall plant productivity of perennial species were limited compared to those of annual 353 species probably due to higher sensitivity of perennials to toxic 'biochar' compounds 354 355 (Biederman and Harpole, 2013). Further, belowground productivity of annual plants responded positively to 'biochar' indicating root-derived C inputs and, thus, the SOC pool 356 may also increase (Ciais et al., 2010). In contrast, belowground productivity of perennials 357 358 such as native and naturalized grasses and forbs, and forage crops did not respond. Also, the plant aboveground : belowground biomass ratios did not change. Thus, 'biochar' may 359 360 enhance the belowground C inputs in the short-term but only for those of annual plants (Biederman and Harpole, 2013). However, the robustness of the conclusions drawn by this 361 meta-analysis with regard to 'biochar' effects on crop productivity has been questioned as 362 363 those were often based on very weak statistical relationships (*Jeffery* et al., 2014). Previous meta-analyses have been hindered by missing and/or inconsistent reporting of soil 364 properties, biochar properties, or other factors which may explain observed plant response 365 (Crane-Droesch et al., 2013). This may have led to misleading and/or imprecise conclusions 366 367 stemming respectively from correlation between grouping factors and underlying causes, and low effective sample sizes caused by dropping observations with missing covariate data. 368 369 Thus, Crane-Droesch et al. (2013) employed statistical methods designed for problems with missing data, i.e., meta-analytical, missing data, and semiparametric statistical methods to 370

explain heterogeneity in crop yield responses across different soils, biochars, and agricultural 371 372 management factors comparing data from 84 studies (365 crop yield response ratios). The results were then used to estimate potential changes in yield across different soil 373 374 environments globally. Crane-Droesch et al. (2013) estimated an average crop yield increase of approximately 10% for 3 Mg ha<sup>-1</sup> of biochar addition in the first year after application but 375 variability in this response was high. Little evidence was found that plant response to biochar 376 is mediated by N additions to soil. Soil CEC and SOC content were strong predictors of yield 377 response, with low CEC and low SOC associated with positive response. The yield response 378 increased over time by approximately 7.0% and 12.3% percentage point relative increases in 379 380 crop yields in the second and fourth season after biochar application, respectively, compared to non-biochar controls. High soil clay content and low soil pH were reportedly weaker 381 382 predictors of higher yield response. No biochar parameters in the dataset—biochar pH, 383 percentage C content, or temperature of pyrolysis—were significant predictors of yield impacts. Further, the crop yield response was higher in animal-derived biochars but the result 384 385 was not significant. Globally, the largest potential yield increases to biochar were observed in 386 areas with highly weathered soils, such as those characterizing much of the humid tropics. Richer soils characterizing much of the world's important agricultural areas appear to be less 387 388 likely to benefit from biochar (Crane-Droesch et al., 2013). Some examples of changes in soil properties possibly responsible for crop yield responses to 389

biochar/charcoal application mainly without co-addition of fertilizer are summarized in thefollowing section.

## 392 **3.1.1 Liming Effect**

The moderation in aluminum (Al) toxicity may be the reason why biochar application has
particularly positive effects on productivity in tropical and irrigated systems on highly
weathered and acid soils with low-activity clays (*Blackwell* et al., 2009). The greatest positive

crop yield responses to biochar were seen in acidic and neutral pH soils (Jeffery et al., 2011; 396 397 *Liu* et al., 2013). The reasons for yield increases on acid soils following application of bark charcoal produced from Acacia mangium Wild. without co-application of fertilizer were 398 399 increases in soil pH, and alleviation of Al and possibly manganese (Mn) toxicity (Yamato et al., 2006). The alkaline biochars produced at higher pyrolysis temperature are more effective 400 in supporting increases in biomass by improved growth conditions than acidic biochars 401 402 presumably through increases in soil alkalinity (*Biederman* and *Harpole*, 2013). Specifically, the acid functional group concentration in biochars produced from the biomass of rice (Oryza 403 sativa L.), Valley oak (Quercus lobata Née), Loblolly pine (Pinus taeda L.) and Florida gama 404 405 grass (Tripsacum floridanum Porter ex Vasey) decreased with increasing peak pyrolysis temperature as more fused aromatic ring structures were produced and more volatile matter 406 was lost (Mukherjee et al., 2011; Li et al., 2013). In addition, alkalinity and the form of alkalis 407 408 may be affected by peak pyrolysis temperature as was suggested by Hossain et al. (2011) based on studies with biochar produced from wastewater sludge. While carbonates were 409 410 major alkaline components in biochars produced from straws of canola (Brassica campestris 411 L.), corn (Zea mays L.), soybean (Glycine max L.) and peanut (Arachis hypogaea L.) generated at high temperatures, organic anions contributed especially to alkalinity of biochars 412 413 generated at lower peak pyrolysis temperature (Yuan et al., 2011). Thus, high temperature biochars may have a great potential to raise soil pH. 414 Toxic effects of available Al on crop root growth in acidic soils are reduced by biochar-415 induced soil pH increases (Chan and Xu 2009). As a result of reduced Al toxicity, roots are 416 417 able to better and more effectively explore even the acid soils to absorb nutrients and water, and this trend may contribute to an increase in crop yield. Further, reduced concentrations of 418

Al and iron (Fe) in the soil solution after 'biochar' application may also enhance the

420 availability of previously bound phosphorus (P) to plants in acid soils and, thus, improve the
421 harvest index (HI; *Biederman* and *Harpole*, 2013).

The liming effect of biochar may result in SOC accumulation similar to the effects of long-422 423 term liming of agricultural soils (Fornara et al., 2011). For example, the net increase in SOC to 23-cm soil depth in soils limed for almost 130 yr was up to 20 times greater than that in un-424 limed soils. In particular, the greater biological activity in limed soils led to plant C inputs 425 426 being processed and incorporated effectively into resistant SOC pools associated with soil 427 minerals (Fornara et al., 2011). However, deeper soil depths were not studied which would have been important to assess the long-term effects of liming on SOC sequestration.Soil 428 429 application of biochar may also result in neutral and negative yield responses. Some of the responses may be explained by strong increases in soil pH affecting pH-sensitive plants 430 and/or exacerbating micronutrient deficiencies similar to effects of soil application of 431 432 charcoals (Glaser et al. 2002). For example, negative yield responses to biochar applications may occur when increase in pH exacerbates micronutrient deficiencies and calcifuge plant 433 434 species are retarded by high calcium (Ca) levels (Chan and Xu 2009.

## 435 3.1.2 Cation Exchange Capacity and Nutrient Concentrations

Biochar may improve soil CEC as it is often characterized by high CEC values, probably due 436 437 to its negative surface charges and its high specific surface area as was shown for ponderosa pine and tall fescue (Festuca arundinacea Schreb.) derived BC (biochar) and for biochar 438 produced from crop residues (Keiluweit et al., 2010; Yuan et al., 2011). Thus, incorporation of 439 biochar into soil often but not necessarily increases CEC (Manyà, 2012). Depending on its 440 persistence, biochar may affect crop productivity in the long-term by providing chemically 441 active surfaces that modify the dynamics of soil nutrients or catalyze useful reactions, and by 442 modifying soil physical properties that benefit nutrient retention and acquisition (Sohi et al., 443 2009). The improved plant nutrient availability by increased CEC may contribute to crop 444

yield increases. However, temporal changes in crop productivity through modification of soil 445 446 chemistry by biochar are variable (Sohi et al., 2009). These changes depend on the mineral nutrient content of fresh biochar and complex physicochemical reactions of biochar with soil 447 particles due to weathering processes as well as associated increases in CEC over time 448 (Spokas et al., 2012). As CEC is indicative of the capacity to retain essential nutrient cations 449 450 in plant available form and of minimizing leaching loss, increases in CEC are often regarded 451 as key factors for crop productivity improvements following biochar application. However, CEC increases may not always be observed as, for example, no changes in CEC in soil of 452 meager fertility characteristics were observed after application of pecan (Carya illinoinensis) 453 454 shell-based biochar but soil fertility improved (Novak et al., 2009a). Otherwise, the soil fauna may also play a role in enhancing biochar effects on soil fertility. For example, activity of the 455 earthworm *Pontoscolex corethrurus* potentially increases fertility in soils of the tropics under 456 457 slash-and-burn practices by deposition of a reworked charcoal/soil mixture on the soil surface which favors the formation of stable 'humus' (Ponge et al., 2006). 458 459 Immediate beneficial effects of charcoal additions on crop productivity in tropical soils may

460 result from increase in availabilities of Ca, Cu, K, P and Zn as was shown for secondary forest charcoal (Lehmann et al., 2003). In particular, poultry litter biochar may result in strong 461 462 increases in soil extractable P (Novak et al., 2009b). Otherwise, lower crop N and Mg uptakes after charcoal addition have also been observed which may cause decrease in crop growth. 463 However, moderate charcoal additions are not a direct supplier of plant nutrients in the long-464 term but other effects of charcoal on nutrient availability appear to be more important to crop 465 yield responses (Glaser et al., 2002). For example, the reduced leaching loss by increased P 466 and K retention on 'biochar's' large and porous surface may contribute to increased soil P and 467 K, and increased plant productivity and crop yield (Biederman and Harpole, 2013). Biochar 468 application may also save nutrients which would have to be otherwise applied with fertilizer 469

470 (*Chan* and *Xu*, 2009). Furthermore, the soil fauna may contribute to improved nutrient uptake
471 efficiency. For example, the earthworm *P. corethrurus* contributed to increased yields of
472 yardlong beans (*Vigna unguiculata* subsp. sesqui-pedalis (L.) Verdc.) after of soil addition of

473 charcoal with P-rich cassava (*Manihot esculenta* Crantz) peels (*Topoliantz* et al., 2005).

#### 474 **3.1.3 Soil Moisture and Physical Properties**

Only limited field data are available on changes in soil physical properties of biochar-soil 475 476 mixtures (Mukherjee and Lal, 2014). Less well known are, in particular, biochar effects on 477 changes in soil aggregation and penetration resistance in field experiments. However, the effects of biochar addition on soil physical properties depend on biochar properties. For 478 479 example, adding ground pecan (Carya illinoinensis) shells pyrolyzed at 700 °C to a Norfolk sandy loam with poor physical characteristics reduced soil strength and improved soil water 480 481 content during free drainage but neither improved aggregation nor the infiltration rate 482 (Busscher et al., 2010). Supposedly, other biochar formulations would have been more effective in improving physical properties of the soil. Also, the water-holding capacity in this 483 soil varied after applying biochars produced at temperatures from 250 °C to 700 °C from 484 peanut (Archis hypogaea) hulls, pecan shells, poultry litter and switchgrass (Panicum 485 virgatum L.; Novak et al., 2009b). 486

Changes in soil moisture retention may be among key factors in explaining positive
biochar/charcoal effects on crop yield. However, experimental evidence for changes in soil
water retention capacity following charcoal application is scanty (*Glaser* et al., 2002). Soils
under charcoal kilns in Ghana had higher saturated hydraulic conductivity, higher total
porosity and higher infiltration rates but lower bulk density than those under control
(*Oguntunde* et al., 2004). These changes may result in increases in water retention and
decreases in soil erosion and, thus, result in higher productivity of soils under charcoal kilns.

Amending topsoils with biochar can decrease bulk density and, thus, improve agronomic 494 495 productivity but it is unclear whether a decrease in bulk density is relevant in the deeper soil profile (Mukherjee and Lal, 2013). Sometimes the improved agronomic productivity in 496 497 biochar-amended soils has been attributed to increased surface area and porosity resulting in improved water retention capacity. Specifically, soil application of biochar with high specific 498 499 surface area may cause a net increase in total soil-specific surface area which may improve 500 soil-water retention and, thus, crop yield (Manyà, 2012). For example, Glaser et al. (2002) 501 reported an increase in water holding capacity after charcoal addition possibly supported by improved soil aggregation. Also, increases in SOC after biochar application likely increase 502 503 water availability, improve soil field capacity and conserve soil moisture (Atkinson et al., 2010). However, experimental evidence for biochar effects on soil-water retention is scanty as 504 505 changes in plant-available soil water retention after biochar application are measured only 506 sporadically (Manyà, 2012). Mukherjee and Lal (2014) suggested that soil moisture retention may only be improved by biochar application to coarse-textured soils. 507

# **3.1.4 Soil Organisms and other Potential Biochar-mediated Effects on Biomass**

## 509 **Production**

511

510 High-temperature 'biochars' were more effective at promoting aboveground productivity

compared to those produced at lower temperatures possibly because the former contained less

biologically-active compounds (*Biederman* and *Harpole*, 2013). Other soil biological

513 mechanisms for yield responses following biochar application could not be assessed by meta-

analysis (*Jeffery* et al., 2011). However, the soil fauna may play a role in enhancing biochar

effects on soil fertility (*Lehmann* et al., 2011). In many studies, microbial biomass has been

- 516 found to increase as a result of biochar additions (e.g., for 'biochar' Biederman and Harpole,
- 517 2013), with significant changes in microbial community composition and enzyme activities.
- 518 Sorption phenomena, pH and physical properties of biochars such as pore structure, surface

area and mineral matter play important roles in determining how different biochars affect soil 519 520 biota (Ameloot et al., 2013; Lehmann et al., 2011). Numerous biologically active compounds may be introduced into soil with biochar, and which may promote growth or produce toxic 521 522 effects with regard to plant and, in particular, root growth. Sorption of allelopathic compounds on biochar is sometimes discussed as reason for enhanced root growth. However, 523 524 the reasons for changes in root growth after biochar application are rarely well identified 525 (Lehmann et al., 2011). Such knowledge is important for assessing biochar effects on SOC sequestration as root-derived C is the major input to SOC at deeper soil depths (Rasse et al., 526 2005). 527

528 Long-term toxic effects of biochar on organisms may be caused by bioaccumulation of persistent organic pollutants (POPs) such as polychlorinated biphenyls (PCBs) and dioxins 529 adsorbed to biochar as indicated by a review on sorption of POPs on BC (Koelmans et al., 530 531 2006). Fresh biochar is a strong sorbent and may decrease the bioavailability, toxicity and mobility of organic pollutants and potentially reduce the efficacy of pesticides and herbicides 532 (Smernik, 2009). The enhanced adsorptivity of biochar containing soils for organic 533 contaminants indicated for studies with pine needle biochar (Chen et al., 2008), may affect the 534 interaction of contaminants with plant growth and SOC. Altered rates and timing of seed 535 germination, and interactions of biochar with compounds that affect plant and microbial 536 growth are important determinants regarding their potential effects on yield. However, 537 whether sorptive properties of aged biochars differ generally from those of fresh biochars is 538 less well known. Also, the effectiveness of biochars on sorption of various organic/inorganic 539 540 contaminants is uncertain (Ahmad et al., 2014).

541 It is likely that biochar-induced changes in soil microbial activity, community structure and 542 functional diversity could impact crop yield (*Jeffery* et al., 2011). For example, soil microbial 543 biomass may increase after 'biochar' application but may have variable effects on plant-

associated soil microorganisms (Biederman and Harpole, 2013). Changes in soil microbial 544 545 dynamics may contribute to higher nutrient availability after charcoal application (Glaser, 2007). The promotion of beneficial soil microorganisms by biochar may contribute to 546 547 improved fertilizer-use efficiency (Warnock et al., 2007). For example, a higher colonization rate with arbuscular mycorrhizal fungi on corn roots was reported after application of charred 548 bark of Acacia mangium (Yamato et al., 2006). However, the direct effects of biochar on soil 549 550 microorganisms such as surface interactions with microbial cell walls or capsular materials, 551 and indirect effects resulting from changes in adsorption of OM and effects on plant growth are less well known (Thies and Rillig, 2009). Changes in microorganism occurrence by 552 553 biochar and resulting direct effects on plant and, particularly, root growth are only beginning to be explored (Lehmann et al., 2011). There is a scarcity of studies that have investigated 554 effects of biochars on microbial function in the rhizosphere. Further, it is unknown if there are 555 556 changes in rhizodeposition in response to biochar addition (Lehmann et al., 2011). Biochar may moderate the environmental fate of pesticides by altering their adsorption and 557 558 desorption characteristics, and altering pesticide biodegradation and efficacy. For example, Loganathan et al. (2009) reported that the bioavailability of atrazine [6-chloro-N-ethyl-N'-(1-559 methylethyl)-1,3,5-triazine-2,4-diamine] was reduced in soil amended with wheat (Triticum 560 561 aestivum L.) straw char. Further, Pinus radiata (D. Don) wood charcoal addition to a forest plantation soil with low SOC concentration has been shown to enhance the sorption of 562 terbuthylazine (N2-tert-butyl-6-chloro-N4-ethyl-1,3,5-triazine-2,4-diamine; Wang et al., 563 2010). In particular, weed control in biochar-amended soils may prove more difficult as pre-564 emergent herbicides may be less effective (Kookana et al., 2011). How the interaction of soil 565 biochar with pesticides alters C inputs from plants into the soil is not known but needs to be 566 567 studied as biochar may persist for long periods of time in the soil and affect the efficiency of pesticides. For example, mixed Fraxinus excelsior L., Fagus sylvatica L. and Quercus robur 568

569 L. biochar aged in the field for 2 y did not apparently differ in sorptive properties as it had the

similar effect on sorption and mineralization of simazine (6-chloro-N,N'-diethyl-1,3,5-

triazine-2,4-diamine) as did the fresh biochar (*Jones* et al., 2011a).

572 In summary, the relationship between biochar, biomass production and SOC is poorly understood. The interactions between biochar, soil organisms and biomass production must be 573 574 investigated over long time-scales as, for example, biochar's yield benefits may significantly increase over time (Crane-Droesch et al., 2013; Ameloot et al., 2013). Whether root-derived 575 576 soil C inputs increase in response to biochar is less well known but such knowledge is needed to evaluate indirect effects of biochar on SOC accumulation and, in particular, on C 577 578 sequestration. The main reasons for reported yield increases after biochar application may be the liming effect and an improved soil water holding capacity along with improved plant 579 nutrient availability (CEC), i.e., P and K. Biochar properties such as percentage C, pyrolysis 580 581 temperature, or pH may be poorly associated with yield response ratio (Crane-Droesch et al., 2013). However, most field studies have been conducted in often highly weathered and 582 relatively infertile soils of tropical latitudes in which the largest potential yield increases may 583 occur. In contrast, response on inherently fertile soils, characterizing much of the world's 584 important agricultural areas, may be less and these soils may not benefit from application of 585 biochar. The longevity of biochar effects on yield is generally uncertain as well-designed field 586 studies are hitherto short-term (Liu et al., 2013). Further, to benefit from positive biochar 587 effects on crops, it is critically important to identify the mechanisms behind often observed 588 but less reported negative yield responses, and also in relation to the application rate 589 (Mukherjee and Lal, 2014; Spokas et al., 2012). Explaining mechanisms by which different 590 biochars influence yield responses remains to be a researchable priority (Crane-Droesch et 591 592 al., 2013).

## 593 **3.2 Biochar Effects on Soil Organic Carbon**

The inherent biochemical recalcitrance of charcoal may contribute to the stabilization of the 594 595 SOC pool, especially in fire-dependent or prone ecosystems (Krull et al. 2006; 2008). However, little is known about the effects of biochar on the SOC balance as was shown for 596 597 glucose-derived and yeast-derived biochars (Steinbeiss et al., 2009). In contrast to the effects on crop productivity, biochar effects on SOC have not been assessed by meta-analyses. 598 599 Biochar may enhance SOC sequestration due to intrinsic stability of some biochar 600 components but may also interact with the decomposition of specific SOC fractions. For climate change mitigation, useful SOC sequestration in agricultural soils occurs when 601 application of biochar results in a net increase in the SOC pool relative to the atmospheric 602 603 CO<sub>2</sub> pool in a specified area for long periods of time (millennia). However, biochar losses occur in the long-term through decomposition, degradation, erosion and leaching. 604 Degradation occurs abiotically (e.g., chemical oxidation, photooxidation, solubilization) and 605 606 biotically (e.g., microbial incorporation, oxidative respiration) as was shown for biochars made from a range of biomass types (Zimmerman, 2010). A complete mass balance is needed, 607 608 but often not available, to account for the fate of soil-applied biochar as was shown for studies 609 with BC produced from prunings of mango trees (Major et al., 2010). Specifically, the contribution of the soil fauna to biotic degradation and biochar or charcoal stability is unclear 610 611 (Ameloot et al., 2013; Topoliantz and Ponge, 2005). For example, activity of P. corethrurus may stabilize charcoal-derived C in soil by favoring the formation of microaggregates within 612 macroaggregates (Ponge et al., 2006). These aggregates contain protected occluded C and 613 their amount increases by passage through the earthworm gut (Bossuyt et al., 2005). Thus, 614 615 some earthworm species potentially enhance SOC sequestration in agricultural soils, especially after biochar application. The contribution of soil fauna other than earthworms 616 (e.g., protozoa, nematodes, collembola, microarthropods and termites) to biochar stability and 617 SOC needs, however, additional research (Ameloot et al., 2013). 618

In the short term (<3 y), soil application of 'biochar' resulted in an increase in total soil C 619 620 (Biederman and Harpole, 2013). Thus, 'biochar' may contribute to the sequestration of soil C but effects on SOC sequestration are unknown as inorganic C is often not analyzed separately 621 622 (Biederman, pers. comm.). Further, inorganic C added to soil with biochar carbonates may either be a net CO<sub>2</sub> sink or source depending on whether reaction with strong acids or 623 carbonic acid occurs similar to those following addition of agricultural lime (Hamilton et al., 624 625 2007). However, it is unlikely that biochar carbonates are stable in soil and contribute directly to soil C sequestration over millennia MRTs similar to those of pedogenic carbonates (Lal and 626 Kimble, 2000; Schlesinger, 2006). Biochar carbonates may be rapidly lost from soil similar to 627 628 agricultural lime (Ameloot et al., 2013). For example, less than 3% of lime added every 3 to 4 years since 1990 remained in a grassland soil to 23-cm depth in the year 2005 (Fornara et al., 629 630 2011). However, the cations leached by lime dissolution and biochar mineralization in the 631 topsoil may result in inorganic C sequestration by formation of secondary carbonates at deeper depths (Nordt et al., 2000). 632

No review or meta-analysis on effects of biochar on SOC sequestration in field experiments 633 has been published until early 2014. Up to the year 2012, Gurwick et al. (2013) identified 634 only seven field studies among 74 in total related to biochar stability, transport or fate in soil 635 636 which estimated biochar decomposition rates in situ. MRTs ranged from between eight to >3,000 y but the reasons for this large variation remained unexplained. Thus, generalizing 637 claims about positive effects of biochar on SOC sequestration for climate change mitigation 638 by increasing MRT of SOC in agricultural soils are not supported by the available research 639 640 data (Gurwick et al., 2012). Some examples for observations regarding the decomposition of biochar or charcoal in soil under laboratory and field conditions, the effects of application of 641 biochar or charcoal on the SOC balance, and losses of biochar or charcoal by erosion, 642 leaching, gaseous emissions are discussed in the following section. 643

#### 644 **3.2.1 Decomposition of Biochar**

645 Biochar is subject to decomposition in most surface soils as it is thermodynamically unstable under the oxidative prevailing conditions (Macías and Arbestain, 2010). However, biochar 646 647 residues in soil resulting in higher stocks of oxidized char residues, usually comprising of six fused aromatic rings substituted by carboxyl groups, may contribute to SOC sequestration. 648 This was shown by *Mao* et al. (2012) for char generated by presettlement fires found in 649 650 grassland-derived soils in the U.S. That some components of biochar and other combustion residues are relatively resistant to decomposition is well known from the persistence of soil 651 charcoal and its suitability for dating and paleo-environmental reconstruction (Titiz and 652 653 Sanford, 2007). For example, char in residues from forest fires may be up to 10,000 y old (Preston and Schmidt, 2006). However, combustion residues in soil can also be relatively 654 modern. For example, radiocarbon ages of <50-400 y and a median age of 652 y have been 655 656 reported for BC and charcoal in boreal forest soils, respectively (Ohlson et al., 2009; Kane et al., 2010). However, radiocarbon ages provide no quantitative information about the 657 658 decomposition rate of biochar (Lehmann et al., 2009). Radiocarbon ages are only indicative of the average time elapsed since atmospheric CO<sub>2</sub> is fixed by photosynthesis in biomass which 659 then forms feedstock for biochar. Additional information about the amount of biochar at 660 661 deposition is needed to quantify the decay rate, but this information is generally not available (Sohi et al., 2009). 662

Little is known about the decomposition of biochar or generally BC under field conditions (*Major* et al., 2010). Laboratory incubations indicate that the formation of oxygen-containing functional groups is the major mechanism leading to BC mineralization involving biotic asides some abiotic oxidation processes. This was shown by *Nguyen* et al. (2010) for laboratory decomposition experiments with BC materials produced from corn residues and oak (*Quercus* ssp.) wood. *Zimmerman* (2010) concluded based on incubations with biochars

made from a range of biomass types that in a sample of biochar, the C that is lost first is most 669 670 likely to be aliphatic and is closer to a particle's external surfaces. Otherwise, the residual biochar-C is more likely to be either part of highly condensed aromatic structures or 671 672 condensates within protective internal pores that are more abundant in biochars pyrolyzed at higher temperature (Zimmerman, 2010). However, the relative importance of the BC structure 673 674 at the micro- and nanoscale, in comparison to the role of minerals (e.g., N and K) for BC 675 mineralization is poorly understood (Nguyen et al., 2010). Further, adding glucose to a soil containing BC produced by charring perennial ryegrass (Lolium perenne L.) residues 676 stimulated BC decomposition for a short period (Kuzyakov et al., 2009). This response 677 678 indicates co-metabolic decomposition (Hamer et al., 2004). Thus, microorganisms do not depend on BC utilization as a C or energy source but microbial enzymes produced for 679 680 decomposition of other substrates such as rhizodeposits may contribute to BC decomposition 681 (Kuzyakov et al., 2009). The importance of this priming effect on BC decomposition in the field mediated by soil organisms is largely unknown (Ameloot et al., 2013). In conclusion, 682 laboratory experiments with BC produced from corn stover residue and oak shavings indicate 683 that rapid BC decomposition occurs under high and consistent incubation temperatures, and 684 by (i) mixing with sand creating an oxygen-rich environment promoting rapid oxidation, (ii) 685 686 the unavailability of BC-protecting mechanisms and (iii) significant amounts of an easily decomposable BC fraction (Nguyen and Lehmann, 2009). 687 Studying BC or biochar decomposition in soils is extremely challenging as the quantification 688

methods are selective for different BC phases such as for highly condensed microscopic BC particles or for low-temperature biochars (*Hammes* and *Abiven*, 2013), but no single method for quantifying solely biochar-C in soils exists. According to a ring trial involving 12 BC reference materials and seven different methods, chemical oxidation with sodium

693 hypochlorite (NaOCl) followed by <sup>13</sup>C Nuclear Magnetic Resonance (NMR) spectroscopy

and elemental analysis is among the most promising methods for BC quantification in soils as 694 695 there is little or none potential for non-BC bias (Hammes et al., 2007). However, there is insufficient data to compare the short- and long-term decomposition of biochar under 696 697 different climates and in different soils (Sohi et al., 2009). Based on NMR spectroscopy using a molecular mixing model (Nelson and Baldock, 2005), Nguyen et al. (2008) showed that BC 698 699 concentrations decreased rapidly to 30% of the antecedent level during the first 30 y of 700 cultivation of soils for corn on land cleared from previous forest by fire in western Kenya. 701 After 100 y of cultivation, however, only small changes have been observed in charcoal stocks at the land-use conversion chronosequence based on analyses of benzene 702 703 polycarboxylic acids (BPCAs; Schneider et al., 2011). Aside from physical export, decomposition of pyrogenic C also contributes to the observed losses. However, all pyrogenic 704 705 C fractions may be lost in similar amounts as no indication for a changing chemical quality of 706 pyrogenic C was observed (Schneider et al., 2011). Major et al. (2010) observed that less than 707 3% of BC produced from mango prunings was lost as CO<sub>2</sub> after 2 y in a soil in Colombia. 708 However, a large portion of applied BC may have been lost by surface runoff, and the 709 attendant erosional processes. The readily available phase in a biochar particle may be physically protected against 710 711 decomposition by entrapment in a condensed and/or crystalline phase within the particle as 712 was indicated by NMR studies of charred peat (Almendros et al., 2003). Fresh biochar, on the other hand, may lose C abiotically in the soil by surface oxidation. This was indicated by

713

incubation experiments with charcoal produced from barely (Hordeum vulgare L.) roots 714

715 (Bruun et al. (2008). The chemical stability of biochar depends on the aliphatic portion that is

more readily decomposed and is less abundant in biochar produced at higher temperatures 716

717 (Lehmann et al., 2009). Chemical stability depends also on the aromatic portion that is

decomposed more slowly, forming surficial, oxygen-containing functional groups including 718

carboxylic acids similar to stable and abundant char residues found in some grassland-derived 719 720 soils with a fire history (Mao et al., 2012). Also, BC samples from historical charcoal blast furnace sites were oxidized after 130 y in soil (Cheng et al., 2008). The adsorption of non-BC 721 722 to those samples was less important for surface chemistry than oxidation. In contrast, adsorption of non-BC such as humified macromolecules and/or microbes may contribute to 723 carboxylic and phenolic C forms surrounding the BC core and its surface even after thousands 724 725 of years of decomposition in soil. This was shown for biomass-derived BC isolated from 726 subsoils near Manaus, Brazil, where other organic material may have been buried together with charcoal (Lehmann et al., 2005). The core of biomass-derived BC particles was still 727 728 highly aromatic and even resembled a fresh charcoal. Large differences have been observed for BC losses from soil, the MRT of soil BC, turnover 729 time (i.e., MRT of BC-C if soil BC is in steady state) and half-life (Czimczik and Masiello, 730 731 2007). For example, half-lives of less than 100 y for soil elemental carbon (EC) at fireaffected savanna soils and of up to 6,623 y for BC (charcoal) in soils of temperate rainforests 732 733 have been reported, respectively (Bird et al., 1999; Preston and Schmidt, 2006). Further, long-734 term MRTs of 1,300 and 2,600 y were estimated for soil BC from two savannah regions, respectively, where steady-state conditions of natural char production and disappearance 735 736 occurred over long periods of time (*Lehmann* et al., 2008). Based on analyzing modern and 737 archived profile samples from a Russian steppe soil, Hammes et al. (2008) calculated a BC turnover time of only 293 y. Vasilyeva et al. (2011) reported that both quantity and quality of 738 pyrogenic C in a Chernozem profile in Russia remain unchanged after 55 y of extreme OM 739 depletion under fallow management. Clay microaggregation was apparently an important 740 process for pyrogenic C stabilization. In contrast, the MRT of the physically unprotected free 741 742 light fraction containing charcoal from soils under corn and tobacco (Nicotiana tabacum

L.)/rye (Secale cereale L.) cropping was only about 20 y (Murage et al., 2007). Thus,

744 physical protection in soil may contribute to a reduction in soil BC losses.

745 In conclusion, the loss of BC, biochar or charcoal by decomposition is highly variable and

depends on (i) inherent chemical stability, (ii) particle size and physical structure, but also (iii)

on protection from microbially-produced exoenzymes through soil physical structures

748 (Zimmerman, 2010; Keiluweit et al., 2010; Nocentini et al., 2010). The BC may potentially be

sequestered in the micro- and nano-C repository soil environment through both the physical

rtapment by the action of metal oxides and OM-induced microaggregation, and through

molecular-level associations (*Solomon* et al., 2012).

754

### 752 3.2.2 Biochar and Decomposition of Soil Organic Carbon

The effect of soil-applied biochar on the decomposition of native SOC is poorly understood.

Biochar may enhance SOC aggregation and, thus, reduce C losses (Liu et al., 2013). For

example, no enhanced SOC loss has been observed after addition of BC produced from

mango prunings in a field study (*Major* et al., 2010). In contrast, charcoal inputs can increase

microbial activity in boreal forest surface soils and strongly stimulate SOC loss through

758 greater respiration or greater leaching of soluble compounds (*Wardle* et al., 2008). Results

from laboratory incubations are also variable ranging from no significant effects of BC (i.e.,

charred residues of perennial ryegrass) on the decomposition of native SOC (Kuzyakov et al.,

761 2009) to the stabilization of labile SOC after addition of *Eucalyptus salinga* wood biochar by

interactive priming (*Keith* et al., 2011). Thus, effects of biochar on decomposition of native

763 SOC needs to be studied for a range of biochars and agroecosystems.

## 764 **3.2.3 Biochar Losses by Mixing, Erosion and Leaching**

To fully account for the fate of soil-applied biochar and its interaction with SOC, downward
movement of biochar into the mineral soil by mixing and leaching and beyond into aquifers,

and physical export from soil by wind and water must be determined aside from biochar

768 losses by decomposition on the soil surface (*Lehmann* et al., 2009).

Biochar applied to the soil surface layer may illuviate into the mineral soil as was indicated by
studies on the mobility of household-derived BC residues in peatlands (*Leifeld* et al., 2007).

771 However, only a few studies have studied the process of downward movement and quantified

BC over the whole soil profile. For example, BC contents in soils under tropical slash-and-

burn agriculture ranged between 5.5% of SOC in 0 to 20 cm and 4.1% of SOC in 35 to 60 cm

depth (*Rumpel* et al., 2006). BC moved also to deeper soil depths in a Russian steppe soil and

in Russian Chernozems as the maximum profile BC concentrations have been observed

between 30 and 50 cm depths (*Rodionov* et al., 2006; *Hammes* et al., 2008). Further,

pyrogenic C was also physically transported down a Chernozem profile and accumulated in

the deepest layer studied (70-80 cm; *Vasilyeva* et al., 2011). Downward migration of BC is

attributed to bioturbation and leaching in arable lands in Germany where BC explained up to

50% of the SOC content at a depth of 87-114 cm (*Brodowski* et al., 2007).

781 Translocation of BC to sub-soil may be promoted by oxidation processes which increase the

782 water solubility of BC. This was indicated by studies with biochars made from a range of

biomass types and by studies on BC in fire-affected permafrost soils at a forested catchment

784 (*Zimmerman*, 2010; *Guggenberger* et al., 2008). The soluble BC transport may be favored by

785 fragmentation and dissolution through oxidation of the condensed aromatic structures.

786 *Hockaday* et al. (2007) reported indirect evidence for microbial dissolution of soil charcoal

derived from burning of white pine (*Pinus strobus* L.) and hemlock (*Tsuga canadensies* L.).

788 Thus, charcoal-derived structures mostly condensed aromatic ring structures could be

identified in soil pore water. High vertical transportation rates have been reported for

household-derived BC residues in peatland soil profiles, and related to large pore volumes and

791 often saturated conditions (*Leifeld* et al., 2007). Thus, in deeper anaerobic peat soil horizons

long-term BC accumulation may occur as microbial activity is reduced under water-saturated 792 793 conditions. Furthermore, deeper horizons often contain BC of a higher thermal stability, most likely soot (Leifeld et al., 2007). Similarly, Rumpel et al. (2008) reported that under tropical 794 795 slash-and-burn agriculture long-term preservation of BC occured mostly in the deepest minerals soil horizons up to 80-cm depth. Major et al. (2010) reported that 1% of mango 796 pruning BC applied to a soil was mobilized by percolating water over 2 y after application. 797 Further, relatively more dissolved organic carbon (DOC) than particulate organic carbon 798 799 (POC) can be lost from BC. Thus, studies limited to surface horizons may miss the location of the most concentrated BC, where it contributes most to SOC, and may miss the importance of 800 801 downward migration and stabilization of BC in deeper soil horizons (Hammes et al., 2008). Erosion and surface runoff can be among the major processes resulting in the loss of surface 802 applied biochar. For example, up to 50% of surface applied BC produced from mango 803 804 prunings was lost from field plots by surface runoff during intense rain events (Major et al., 2010). Due to low bulk density and the floating behavior, pyrogenic C produced by burning 805 806 of the perennial grass species Andropogon gayanus was preferentially removed by erosional 807 processes compared to other SOC fractions (Rumpel et al., 2009). Charcoal may even be entirely exported from watersheds and enter water bodies (Jaffe et al., 2013). Once BC 808 becomes a component of riverine C, it is easily exported to the ocean and finally buried in 809 810 deeper ocean sediments (Masiello, 2004). Similar to geological C sequestration, the burial of biomass char in deep ocean sediments may isolate C from exchange with the atmosphere for 811 centuries to millennia and, thus, contribute to climate change mitigation (Dufour, 2013). 812

## 813 **3.2.4 Biochar and Greenhouse Gas Emissions from Soil**

Applying biochar to agricultural soils may affect SOC sequestration by altering the

greenhouse gas (GHG) balance. Indirectly, radiative forcing may be altered by changes in

atmospheric CH<sub>4</sub>, CO<sub>2</sub>, N<sub>2</sub>O, BC (soot), and ozone concentrations resulting in changes in

temperature and precipitation with possible feedbacks on the SOC balance. However, there is 817 818 little information on indirect radiative forcing effects of biochar. Annual net emissions of CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O may be reduced by 1.8 Pg CO<sub>2</sub>-C equivalent (CO<sub>2</sub>-C<sub>e</sub>)  $y^{-1}$  (1 Pg = 10<sup>15</sup> g), 819 and total emissions by 130 Pg CO<sub>2</sub>-C<sub>e</sub> over a century by implementing a sustainable biochar 820 program globally (Woolf et al., 2010). Emission savings may arise indirectly from biochar 821 application through (i) reduced need for fertilization due to enhanced fertilizer use efficiency, 822 (ii) avoided conversion of natural ecosystems for agriculture as crop yield may be higher on 823 biochar amended soil, (iii) reduced need for irrigation due to improved water-holding 824 capacity, and (iv) reduced energy need for tillage by improved soil physical properties (Sohi 825 826 et al., 2010).

The soil application of biochar may alter the surface albedo (i.e., the amount of solar radiation 827 reflected back in space) but this effect is less well studied (Meyer et al., 2012). Reductions in 828 829 surface albedo of biochar-amended soils may also have consequences for soil sensible heat flux, surface temperature and evaporation. For example, Genesio et al. (2012) reported that 830 831 the reduced albedo of soils mixed with charcoal produced from coppiced woodlands resulted 832 in increases in soil temperature associated to larger soil heat flux. This temperature increase may promote decomposition and, thus, result in SOC losses. But the impacts of soil warming 833 on decomposition have not been fully resolved (*Conant* et al., 2011). *Verheijen* et al. (2013) 834 reported that the surface application of pine biochar in a laboratory experiment strongly 835 reduced soil surface albedo even at relatively low application rates. For a global-scale biochar 836 application rate equivalent to 10 Mg ha<sup>-1</sup>, the simulated reductions in negative radiative 837 forcings (balance between negative radiative forcings from avoided CO<sub>2</sub> emissions and 838 positive radiative forcings from reduced soil surface albedos) were 13 and 44% for croplands 839 840 and 28 and 94% for grasslands, when incorporating biochar into the topsoil or applying it to the soil surface, respectively. Thus, it is important to include changes in soil surface albedo in 841

studies assessing the net climate change mitigation potential of biochar (Verheijen et al., 842 843 2013). Further, if a small percentage of biochar particles become airborne this could also result in a net warming impact similar to that of BC (Bond et al., 2013). Gao and Wu (2014) 844 reported that biochars are often ground and sieved to various sizes such as those produced 845 from slow pyrolysis of mallee (Eucalyptus ssp.) wood, leaf, and bark with the upper size 846 limits in the range from 0.044 to 20 mm. The particulate matter (PM) with an aerodynamic 847 diameter of  $<10 \mu m$  (PM<sub>10</sub>) and, in particular,  $<2.5 \mu m$  (PM<sub>2.5</sub>) in the ground biochars will 848 stay in air for long periods of time and be easily transported far from the application site. 849 Considering typical biochar application rates of 5–50 Mg ha<sup>-1</sup>, the application of the biochar 850 851 after extensive grinding poses a large potential for fine PM emission (i.e., 0.25-2.5 Mg PM<sub>10</sub> ha<sup>-1</sup> and 0.1–1 Mg PM<sub>2.5</sub> ha<sup>-1</sup>). Among adverse impacts of biochar loss by fine PM emission 852 form the application site is the potential pollution of neighboring residential zones and the 853 854 unacceptable health risks to workers handling the biochar (Gao and Wu, 2014). However, no published research has examined the possible net warming impact of airborne biochar 855 856 particles (Ernsting et al., 2011).

857 The interpretation of lab incubation data on GHG fluxes and extrapolation of results to the field scale is challenging (Scheer et al. 2011). During incubation studies, GHG emissions 858 from agricultural soil amended with biochar produced from corn stover, peanut hulls, 859 macadamia (Macadamia ssp.) nut shells, wood chips, and turkey (Meleagris ssp.) manure 860 plus wood chips varied widely depending on the properties of the biochar, soil type, land use 861 and climate (Spokas and Reicosky, 2009). Only a small number of studies have assessed the 862 direct influence of biochar on soil GHG emissions in field experiments (Gurwick et al., 2012; 863 2013). For example, increases, decreases and negligible effects on soil GHG emissions 864 following application of a range of biochar types have been observed in which some soils 865 received also fertilizer (Castaldi et al., 2011; Zhang et al., 2012; Case et al., 2013). Fresh 866

biochar may emit ethylene, a plant hormone which also inhibits soil microbial processes. 867 868 Thus, ethylene in biochar-amended soils may contribute to GHG reductions but durations and temporal trends of those effects are uncertain (Spokas et al., 2010). However, exposure of 869 870 fresh biochar made from Douglas fir [Pseudotsuga menziesii (Mirb.) Franco] chips and from hazelnut (Corylus ssp.) shells to an oxidizing environment for 3 months degassed or oxidized 871 the entire amount of ethylene (Fulton et al., 2013). In conclusion, the specific mechanisms 872 873 governing the responses of soil GHG fluxes to biochar addition are not clearly understood 874 (Mukherjee and Lal, 2013).

875 *Carbon dioxide* 

876 Lab incubations indicate that an initial increase in CO<sub>2</sub> after adding biochar to soil may come equally from breakdown of organic C and the release of inorganic C contained in biochar. 877 This short-term release may be negligible for SOC sequestration as, for example, only about 878 879 0.1% of the C in mixed hardwood derived biochar made from Fraxinus excelsior L., Fagus sylvatica L. and Quercus robur L. was released in total (Jones et al., 2011b). During a short-880 term field study, no changes in CO<sub>2</sub> emissions have been observed after application of biochar 881 which was a by-product of birch (Betula ssp.) charcoal production probably as biochar effects 882 needed a longer time to develop or higher biochar application rates would have been required 883 884 (Karhu et al., 2011). The labile content of biochar may be the reason for increased  $CO_2$ emissions as was shown for a calcareous and infertile soil amended with biochar produced 885 from wheat straw (Zhang et al., 2012). However, this effect may be a transient and decrease 886 when labile biochar-C is no longer readily available (Zimmerman et al., 2011). In the long-887 term, increased belowground NPP after biochar application are probably causing increased 888 CO<sub>2</sub> emissions (*Major* et al., 2010). Suppression of soil CO<sub>2</sub> emissions observed over 2 y in a 889 890 bioenergy crops system after application of a biochar produced from thinnings of hardwood trees [oak, cherry (Prunus ssp.) and ash (Fraxinus ssp.)] may be due to a combined effect of 891

reduced enzymatic activity, the increased carbon-use efficiency from the co-location of soil 892 893 microbes, SOM and nutrients and the precipitation of CO<sub>2</sub> onto the biochar surface (*Case* et al., 2013). However, the mechanism of GHG sorption/desorption on biochar may have only 894 small effects on GHG fluxes as indicated by incubation studies with a range of different 895 biochar types (Spokas and Reicosky, 2009). Further, it is unclear whether the long-term CO<sub>2</sub> 896 balance of soils is affected by reduced or enhanced decomposition (negative or positive 897 898 priming effect) of SOC sometimes observed initially after biochar addition, for example, for 899 biochar produced from wood (Keith et al., 2011). Also, based on meta-analysis of eight studies assessing mineralization of <sup>14</sup>C or <sup>13</sup>C-labelled biochar, Ameloot et al. (2013) 900 901 concluded that how biochar mineralization rates may change over years and decades remains largely unknown. 902

In summary, short-term increase in soil CO<sub>2</sub> emissions may occur after biochar addition but
the long-term effects are uncertain.

905 *Methane* 

906 Similar to the effects of biochar addition on the soil CO<sub>2</sub> flux, responses of CH<sub>4</sub> fluxes to 907 biochar in field experiments vary and mechanisms are also poorly understood (Van Zwieten et al., 2009). For example, improved soil aeration and porosity after soil application of a by-908 product of birch charcoal production may be reasons for reduced CH<sub>4</sub> emissions observed in a 909 short-term field study either due to a decrease in methanogenesis, increase in CH<sub>4</sub> oxidation 910 or both (Karhu et al., 2011). Otherwise, CH<sub>4</sub> emissions increased weakly in soils amended 911 with wheat straw biochar under corn and strongly under rice (Oryza sativa L.) cultivation, 912 913 respectively, during the whole growing season but the reasons remain unknown (Zhang et al., 2010; 2012). 914

In summary, some agricultural soils may change from a net  $CH_4$  sink into a net  $CH_4$  source by the addition of some biochars when the  $CH_4$  production increases and/or the  $CH_4$  oxidation by methanotrophs decreases (*Mukherjee* and *Lal*, 2013).

918 *Nitrous oxide* 

A combination of biotic and abiotic factors may be involved in effects of biochar on N<sub>2</sub>O 919 emissions from soil (Van Zwieten et al., 2009). Based mainly on prior knowledge of the 920 requirements of nitrifiers and denitrifiers, proposed effects suppressing N<sub>2</sub>O emissions 921 922 include (i) enhanced soil aeration (reduced soil moisture) inhibiting denitrification due to more oxygen being present, (ii) labile C in the biochar promoting complete denitrification, 923 924 i.e., dinitrogen (N<sub>2</sub>) formation, (iii) the elevated pH of the biochar creating an environment where  $N_2O$  reductase activity is enhanced thus promoting  $N_2$  formation and higher  $N_2/N_2O$ 925 ratios, and (iv) a reduction in the inorganic-N pool available for the nitrifiers and/or 926 denitrifiers that produce  $N_2O$ , as a result of  $NH_4^+$  and/or  $NO_3^-$  adsorption, greater plant 927 growth, NH<sub>3</sub> volatilisation loss, or immobilisation of N (*Clough* et al., 2013). Further, 928 929 Cayuela et al. (2013a) proposed that biochar facilitates the transfer of electrons to soil 930 denitrifying microorganisms, which together with its liming effect would promote the reduction of N<sub>2</sub>O to N<sub>2</sub>. The quinone-hydroquinone moieties and/or conjugated  $\pi$ -electron 931 932 systems associated with condensed aromatic (sub-) structures of biochar may be involved in this electron shuttling (*Klüpfel* et al., 2014). Otherwise, increases in N<sub>2</sub>O emissions have been 933 attributed to (i) the release of biochar embodied-N or priming effects on SOM following 934 biochar addition, (ii) biochar increasing soil water content and improving conditions for 935 936 denitrification, and (iii) biochar providing inorganic-N and/or C substrate for microbes. However, rigorous field experiments to test the proposed mechanisms are lacking (Clough et 937 al., 2013). 938

Biochar interactions with N<sub>2</sub>O emissions may vary depending on soil type, land use, climate 939 940 and biochar characteristics. For example, Karhu et al. (2011) observed no effect of biochar (i.e., by-product of birch charcoal production) on N<sub>2</sub>O emissions during the growing period 941 942 associated with the highest N<sub>2</sub>O emissions probably as biochar effects needed more time to develop. Otherwise, even over 2 y the effects of application of mixed hardwood biochar on 943 soil N<sub>2</sub>O emissions were negligible (*Case* et al., 2013). In contrast, adding wheat straw 944 945 biochar to corn and rice soils in field experiments reduced N<sub>2</sub>O emissions (*Zhang* et al., 2010; 2012). 946

Cayuela et al. (2013b) performed a meta-analysis on the effects of 'biochar' (i.e., biochar, 947 948 charcoal or BC) on soil N<sub>2</sub>O emissions, comparing 261 experimental treatments. Overall, 'biochar' reduced soil N<sub>2</sub>O emissions by 54% in laboratory and field studies. The 'biochar' 949 feedstock, pyrolysis conditions and C/N ratio were key factors influencing emissions of N<sub>2</sub>O 950 951 while a direct correlation occured between the 'biochar' application rate and N<sub>2</sub>O emission reductions. Interactions between soil texture and 'biochar' and the chemical form of N 952 953 fertilizer applied with 'biochar' also had a major influence on soil N<sub>2</sub>O emissions. However, there is still a significant lack in understanding of the key mechanisms which alter N<sub>2</sub>O 954 emissions (Cayuela et al., 2013b). 955

In summary, most studies on N<sub>2</sub>O emissions from biochar-amended soils were short-term, and most laboratory experiments indicate emission reductions. However, long-term field studies are lacking, as is a mechanistic understanding of the biochar's effects on soil N<sub>2</sub>O fluxes and, in particular, the role of ethylene on N<sub>2</sub>O emissions (*Mukherjee* and *Lal*, 2013; *Spokas* et al., 2010; *Clough* et al., 2013).

961 **4 Research Needs** 

962 The effects of soil application of biochar on SOC sequestration, biomass, yield and other963 agronomic benefits are highly variable, and biochar-, plant- and site-specific. Less is known

how to engineer the pyrolysis process conditions to produce the desired biochar properties 964 965 (e.g., fused aromatic ring structure) for SOC accumulation in agricultural soils (Brewer et al., 2009). Before large-scale biochar commercialization is implemented, long-term field research 966 967 is needed to optimize biochar systems targeted to maximize agronomic benefits (Sohi, 2012). Well-designed studies must report consistently biochar chemistry and soil characteristics 968 969 (Biederman and Harpole, 2013). Aside evaluating ecological effects, an economic evaluation 970 is needed as subsidies will be required for widespread biochar implementation but it is unclear 971 if and how subsidies will be financed through the C markets or trading C credits (Ernsting et al., 2011). Thus, to get the most benefit from biochar application, environmental and social 972 973 circumstances must both be considered (Abiven et al., 2014).

Little attention has been paid to potential unintended environmental effects of biochar (e.g., 974 biomass and yield reduction, SOC loss, increase in harmful compounds, changes in radiative 975 976 forcing) following soil application (Kookana et al., 2011). Biochar field trials have been 977 conducted since 1980 but mostly in tropical and subtropical regions, and only recently have 978 the field experiments been initiated elsewhere (Jeffery et al., 2011; Liu et al., 2013). Thus, a 979 large number of long-term field studies are needed in all climatic regions and, in particular, in temperate regions. There is a paucity of data concerning biochar produced from feedstocks 980 981 other than wood and crop residues, and from feedstocks produced by technologies other than pyrolysis (Sohi et al., 2010; Liu et al., 2013). 982

The maximum allowable amount of biochar that can be incorporated into soils for C offset purposes must be established (*De Gryze* et al., 2010). The scientific knowledge about fundamental mechanisms by which biochar affects SOC dynamics needs to be improved by studying: (i) contribution of biochar to fused aromatic ring structure of soil BC and SOC, (ii) functional interactions of biochar with soil fauna and microbial communities, (iii) surface interactions, (iv) nutrient use efficiency, (v) soil physical effects, (vi) fate of biochar in the

soil profile, watershed and agricultural landscape, (vii) effects on GHG emissions, and (viii) 989 990 plant physiological responses. Biochar studies must, in particular, include a systematic appreciation of different biochar-types and basic manipulative experiments that 991 992 unambiguously identify the interactions between biochar and soil biota (Ameloot et al., 2013; 993 *Lehmann* et al., 2011). To assess the contribution of soil application of biochar to climate change mitigation by SOC 994 995 sequestration, biochar and its effect on SOC must be studied in soil profiles and not only in surface soils as biochar and SOC sequestration may occur specifically in deeper soil horizons 996 as indicated by very long SOC turnover times that increase with increase in soil depth 997 998 (Schmidt et al., 2011). This includes studies on how biochar application affects organic C input to subsoils in dissolved form following preferential flow pathways, as aboveground or 999 1000 root litter and exudates along root channels and/or through bioturbation (Lorenz and Lal, 1001 2005; Rumpel and Kögel-Knabner, 2011). In particular, biochar effects on microbial products in subsoils must be studied in detail as those contribute more to SOC at deeper soil depths 1002 1003 than plant compounds (Schmidt et al., 2011; Courtier-Murias et al., 2013). 1004 Also, biochar losses through physical export in dissolved, gaseous and particulate forms needs to be quantified for a range of sites to fully address the contribution of biochar to SOC 1005 1006 sequestration in an agricultural landscape. Currently, only degradation/mineralization (abiotic 1007 and biotic) as mechanisms for biochar loss from soils have been subject of considerable research while studies on losses by erosion, illuvation, leaching/solubilization, volatilization 1008 and consumption by later fires are scanty (Saiz et al., 2014; Zimmerman and Gao, 2013). 1009 1010 Thus, a large number of studies of agricultural watersheds are needed to assess the environmental fate of biochar in agroecosystems as soil biochar may persist for long periods 1011 1012 of time (Sohi et al., 2010). Further, biochar's impact on downstream environments is less well known and field research on those effects is urgently needed. Studies are also needed to 1013

strengthen the limited understanding of mechanisms by which biochar interacts with
organisms (*Biederman* and *Harpole*, 2013). Modelling the coupled C and N (H<sub>2</sub>O and P?)
cycles in soil with and without biochar is essential to understanding the fundamental
mechanisms through which biochar affects SOC and the impact on soil GHG emissions. Most
importantly, improved methods of quantification of biochar in soil are needed, along with the
standardization of the pyrolysis process (*Sohi* et al., 2010).

1020 Finally, the hypothesis that biochar can only make a useful contribution to climate change mitigation in soils by affecting subsoil SOC must be tested by rigorous experiments 1021 accompanied by modeling studies. The hypothesis is based on the (i) long turnover times of 1022 1023 SOC at depth, (ii) the reported preferential accumulation of BC at deeper depths, and (iii) the increase in stabilized SOC fractions with depth. If biochar itself should remain in soil for long 1024 1025 periods of time (millennia) it must be removed from the soil surface and moved to deeper soil 1026 depths as it is otherwise prone to losses by decomposition and surface erosion. Over 60% of the global land area is composed of landscapes with >8% slope (*Staub* and *Rosenzweig*, 1027 1028 1992), and topsoil and with it SOC and biochar may be distributed laterally over the Earth's 1029 surface by water, wind, and through gravity-driven diffusive mass transport (Berhe and Kleber, 2013). On the millennial time scale needed for a useful contribution to climate change 1030 1031 mitigation (*Mackey* et al., 2013), the topsoil C including biochar buried at depositional sites may be lost by decomposition and leaching aside losses occurring during transport (Van Oost 1032 et al., 2012). Thus, biochar must be buried at eroding sites at deep soil depths to reduce the 1033 risk of erosion-induced C losses. The subsoil biochar may also contribute indirectly to SOC 1034 1035 sequestration by affecting soil fertility and, thus, agronomic productivity. There is strong evidence that subsoil can contribute to more than two-thirds of the plant nutrition of N, P and 1036 1037 K in agricultural soils of temperate regions (Kautz et al., 2013). The improved subsoil fertility following biochar addition to deeper soil depth can, thus, potentially enhance crop 1038

productivity and soil C inputs. In conclusion, further evaluation of biochar effects on
terrestrial C sequestration is needed before large quantities of biochar are applied to achieve
useful goals for SOC sequestration (*Post* et al., 2012). Crucial will be to understand biochar
and SOC dynamics at deeper soil depths, and how they can be managed for climate change
mitigation.

## 1044 **5 Conclusions**

1045 Soil application of biochar results in a moderate increase in crop productivity (yield and aboveground biomass) for up to 2 y but it is unclear how long this enhancement will persist 1046 and whether soil C inputs may also increase. Not comprehensively assessed are, in particular, 1047 1048 biochar effects on the SOC balance. However, biochar can be a useful contribution to climate change mitigation by SOC sequestration at deeper soil depths. Mitigation requires that 1049 1050 biochar results in a net removal of C relative to the atmospheric CO<sub>2</sub> pool in soil for long 1051 periods of time (millennia) to reduce the interaction of atmospheric CO<sub>2</sub> with the climate. However, the importance of chemical recalcitrance vs. physical protection and interaction 1052 1053 with soil minerals, and of processes at deeper soil depths for SOC stabilization is less well 1054 known. Specifically, biochar is not uniform but its properties vary widely and its fate and direct and indirect effects on SOC dynamics depends on feedstock, pyrolysis production 1055 1056 systems and site properties. These factors must be studied by a large number of field studies 1057 accompanied by modeling before biochar can be commercialized on a large scale. It is critically important to identify the mechanisms behind unintended consequences of soil 1058 application of biochar such as reduction in biomass, yield and SOC, the effects of harmful 1059 1060 compounds, impacts on downstream environments, and the net warming impact of airborne biochar particles. 1061

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Pyrogenic carbon form	Definition	Reference
Black carbon	Carbonaceous substance of pyrogenic origin resistant to thermal or chemical degradation by applying specific methods	Hammes and Abiven (2013)
Charcoal	Residual carbon in solid form produced by heating of biomass in a restricted oxygenated environment (pyrolysis)	Spokas (2010)
Biochar	Charcoal for which scientific consensus exists that soil application at a specific site is expected to substantially sequester carbon and concurrently improve soil functions while avoiding detrimental effects	<i>Verheijen</i> et al. (2009)

## **Table 1 Definitions of pyrogenic carbon forms in soil**

## 1557 Table 2 Direct and indirect effects of biochar application on long-term increases in soil organic carbon in agroecosystems and potential

## 1558 mechanisms

Pathway	Observed effect	Potential mechanism	Reference
Direct	Increase in stabilized soil organic carbon fraction	Increase in fused aromatic ring structures	Brewer et al. (2009); Mao et
			al. (2012)
		Physical entrapment by metal oxides; increase in	Solomon et al. (2012)
		microaggregation and molecular-level	
		associations	
	Deepening of soil organic carbon distribution	Reduction in losses by erosion and leaching;	<i>Lorenz</i> and <i>Lal</i> (2005);
		increased translocation to sub-soil layers;	Rumpel and Kögel-Knabner
		increased stabilization in sub-soil and more	(2011)
		anaerobic soil layers	
Indirect	Higher crop yield and/or aboveground	Increased water holding capacity	Glaser et al. (2002);
	productivity		Verheijen et al. (2009); Sohi
			et al. (2010)
		Increased aggregation; increased soil alkalinity	<i>Liu</i> et al. (2013)

(liming effect)		
Increased soil P and K concentrations; increased	Biederman and Harpole	
tissue K concentration	(2013)	
Increased cation exchange capacity	Manyà (2012)	
Reduced sensitivity to toxic biochar compounds	Biederman and Harpole	
	(2013)	
	Increased soil P and K concentrations; increased tissue K concentration Increased cation exchange capacity	