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Ozone damage, detoxification and the role of isoprenoids - new impetus for integrated models

Supriya Tiwari^{A*}, Rüdiger Grote^{B*}, Galina Churkina^C, Tim Butler^C

^A Department of Botany, Banaras Hindu University, Varanasi-221003, India

^B Karlsruhe Institute of Technology, Institute of Meteorology and Climate Research,
Garmisch-Partenkirchen, Germany

^C Institute of Advanced Sustainability Studies, Potsdam, Germany

* these authors contributed equally to the manuscript

Corresponding author: Rüdiger Grote, Email: Ruediger.Grote@kit.edu

Abstract

High concentrations of ozone (O₃) can have large impacts on the health and productivity of agricultural and forest ecosystems, leading to significant economic losses. In order to estimate this impact under a wide range of environmental conditions, the mechanisms of O₃ impacts on physiological and biochemical processes have been intensively investigated. This includes the impact on stomatal conductance, the formation of reactive oxygen species and their effects on enzymes and membranes, as well as a number of induced and constitutive defense responses. This review summarizes these processes, discusses their importance for O₃ damage scenarios and assesses to which degree this knowledge is currently used in ecosystem models which are applied for impact analyses. We find that even in highly sophisticated models, feedbacks affecting regulation, detoxification capacity, and vulnerability are generally not considered. This implies that O₃ inflicted alterations in carbon and water balances cannot be sufficiently well described to cover immediate plant responses under changing environmental conditions. Therefore, we suggest conceptual models that link the depicted feedbacks to available process-based descriptions of stomatal conductance, photosynthesis and isoprenoid formation. Particularly the linkage to isoprenoid models opens up new options for describing biosphere-atmosphere interactions.

1

2 **Keywords**

3 oxidative damage, physiological defence, biogenic volatile organic compounds, reactive
4 oxygen species, impact modelling

5

6 **1 Introduction**

7 The existence of ozone (O₃) in the atmosphere can be traced back to the first formation of
8 oxygen by prokaryotic organisms 2-3 billion years ago, when the first stratospheric ozone
9 layer formed. Here, its presence has been beneficial to life on earth as it prevents harmful
10 ultraviolet radiation from reaching the earth's surface. Tropospheric O₃ in high
11 concentrations, however, poses serious threats to human health and plant productivity. In
12 North America and Europe, daily peak O₃ concentrations as high as 200 - 400 ppb
13 episodically occur during the late afternoon hours in summers (Royal Society 2008). Peak O₃
14 concentrations are tending to decline in North America and Europe (Ashmore 2005) but are
15 increasing in and around many cities in these regions (Paoletti *et al.* 2014). In Asia, O₃ -
16 concentration are still soaring to new records (see Feng *et al.* (2015) and references therein).

17 High O₃ concentrations threaten human health and decrease agricultural as well as forest
18 productivity. The general global estimates of agricultural and forest yield losses associated
19 with high O₃ concentrations are 3 - 16 percent (Avnery *et al.* 2011) but may be more than 30
20 percent depending on species and metric used (Ainsworth *et al.* 2012). Ozone damages have
21 remarkable economic importance: Chameides *et al.* (1994) estimated that 9 - 35% of the
22 World's cereal crops are exposed to O₃ concentrations that possess the potential of causing
23 yield reductions. In Europe, crop losses from O₃ damage for 23 crops in 47 countries were
24 estimated to be €6.7 billion per year (\$9.6 billion) (Holland *et al.* 2006).

25 In order to derive O₃ impacts on biomass and yield, a number of indices have been developed
26 to account for some non-linear features. For example, a critical level can be defined as a
27 "concentration, cumulative exposure or cumulative stomatal flux of atmospheric pollutants
28 above which direct adverse effects on sensitive vegetation may occur according to present
29 knowledge" (UNECE 2004). This concept describes a threshold concentration approach, the
30 most prominent example of which is the AOT40 (**A**ccumulated **O**zone **E**xposure over a
31 **T**hreshold of **40**ppb) index. AOT40 is calculated as the cumulative sum of differences
32 between hourly O₃ concentrations at daylight above a threshold concentration of 40 ppb. This

1 index is also the most common basis for estimating the potential risk of plants to be damaged
2 by O₃ exposure and for setting environmental quality objectives within the European Union
3 (EU) and the United Nation Economic Commission for Europe (UN/ECE) (Directive
4 2002/3/EC; UN/ECE, 2004). Despite substantial criticism regarding further modifying
5 impacts that are neglected by the method, concentration-based critical levels are commonly
6 used because they only require O₃ measurements or estimates but no climatic or other
7 environmental data (UNECE 2004).

8 The actual damage, however, does not depend on concentrations, but on the amount of O₃ that
9 enters the plant, the capability of the plant's defense system, and the plant's sensitivity to
10 oxidative stress (Sharma *et al.* 2012). The transport into the foliage occurs almost exclusively
11 through stomata, which also regulate CO₂ uptake while preventing desiccation. Dry
12 conditions may thus prevent damages even if O₃ concentrations are high. Therefore, flux-
13 based approaches for O₃ risk assessment are preferred particularly if changing environmental
14 conditions shall be evaluated. Such approaches are for example the 'Phytotoxic O₃ Dose
15 above a flux threshold of $Y \text{ nmol m}^{-2} \text{ projected leaf area s}^{-1}$ ', PODY (Mills *et al.* 2011), or the
16 'Cumulative Uptake index of Ozone', CUO, used with or without threshold uptake rates
17 (Pleijel *et al.* 2004). Flux based indices have shown to be superior to the concentration-based
18 index AOT40 in explaining yield reductions for wheat and potato (De Andres *et al.* 2012;
19 Pleijel *et al.* 2004) as well as biomass reductions and visible leaf injuries for trees (Karlsson *et*
20 *al.* 2007). However, the regional calculations of O₃ uptake often neglect the limiting effect of
21 soil moisture on O₃ uptake and thus tend to overestimate the actual damage (UNECE 2004).
22 Physiological pre-disposition or detoxification capacity is also not considered in impact
23 studies which caused Tausz *et al.* (2007) to propose a flux-concept weighted by the defense
24 capacity of plants.

25 Despite some approaches that incorporate the water balance into O₃ uptake calculations
26 (Ewert and Porter 2000; Van Oijen *et al.* 2004) and to consider the carbon cost of
27 detoxification (Deckmyn *et al.* 2007; Plöchl *et al.* 2000), a mechanistic description of induced
28 defenses is generally absent in O₃ impact models. Moreover, linkages to other physiological
29 processes such as photosynthesis and the production of antioxidants, including the emission of
30 biogenic volatile organic compounds (BVOC), have not yet been established in ecosystem
31 models. Therefore, we review the current state of knowledge about O₃ impacts on plants with
32 particular emphasis on how this knowledge can be used to describe plant response to O₃ more
33 realistically and to incorporate it into ecosystem models. In the following, we discuss the
34 mechanisms and environmental dependencies of O₃ uptake, subsequent generation of reactive

1 oxygen species (ROS) and their effects on plants, as well as the defense mechanisms adopted
2 by plants to cope with O₃ stress. Finally, we summarize these findings into conceptual models
3 that might be useful for further developments. The analysis is limited to stomatal and
4 biochemical responses and does not consider long-term effects such as necrosis development
5 and enhanced senescence of leaves.

6

7 **2 Ozone damage**

8 **2.1 Stomatal regulation**

9 Ozone enters the plant through stomatal openings of the leaves. A higher stomata density and
10 wider stomatal aperture thus enables higher O₃ flux into the plant. Because stomata stay open
11 under conditions that are favorable for photosynthesis, O₃ uptake is increased under high light
12 and optimum temperature, but decreases under drought stress (Fares *et al.* 2010). Elevated
13 [CO₂] tends to trigger stomatal closure increasing water use efficiency of the plants and thus
14 tend to decrease O₃ influx (see Fig. 1). In general, any genetic or environmental factor that
15 decreases stomatal conductance is decreasing the susceptibility against O₃ damage. This can
16 be seen as the reason for the lower sensitivity to O₃ pollution found in gymnosperms
17 compared to angiosperms (e.g. Wittig *et al.* 2007) and in C₄- compared to C₃ plants (e.g. Li
18 *et al.* 2008).

19 Chronic O₃ exposure causes stomatal dysfunction (Fig. 1). This has been described as a
20 decreased sensitivity of stomata to environmental conditions with the effect of de-coupling
21 stomata responses and photosynthesis (Lombardozzi *et al.* 2012). The effect leads to
22 hysteresis also described as stomatal sluggishness (Dumont *et al.* 2013; Hoshika *et al.* 2014),
23 and finally causes stomata failure. This phenomenon has been widely observed and it has
24 been proven to decrease water use efficiency and to increase O₃ uptake rate (VanLoocke *et al.*
25 2012; Wittig *et al.* 2007). Based on observations of increased fluxes of potassium ions into
26 guard cells, as well as elevated cytosolic calcium concentration within these cells during O₃
27 exposure, alterations in membrane permeability of the stomatal cells have been suggested as a
28 possible mechanism (Dumont *et al.* 2013). This is consistent with the detailed membrane
29 processes of anion channel regulation due to ROS that are reviewed in Van Breusegem *et al.*
30 (2008). The stomata sensitivity to O₃ seems to be smaller in young leaves (Bernacchi *et al.*
31 2006) and varies widely between varieties of the same crop (e.g. Morgan *et al.* 2003), as well
32 as within a specific plant species when grown at different locations (Pyakurel and Wang
33 2014). Additionally, the number, size and responsiveness of stomata are known to also vary

1 within a canopy due to different photosynthesis activities and environmental gradients,
2 highlighting the importance of considering various physiological and micro-meteorological
3 feedbacks (Tarvainen *et al.* 2013; Van Wittenberghe *et al.* 2012).

4

5 **2.2 Increased ROS concentration**

6 After entering through the stomata, O₃ reacts with the liquid phase components of the apoplast
7 which generates ROS and increases the level of the oxidative species that are already
8 constitutively present or produced by means of other stress impacts such as biotic stress,
9 salinity, drought or high light intensity (Das and Roychoudhury 2014). Because the
10 destructive potential but also the mobility of ROS across membranes vary, different molecules
11 should be distinguished: O₂ can be excited to singlet oxygen (O₂¹) or transformed to
12 superoxide anion (O₂⁻), hydrogen peroxide (H₂O₂) or a hydroxyl radical (OH⁻), by the transfer
13 of one, two or three electrons to O₂, respectively. The first product of the oxidative
14 degradation of O₃ in the apoplast is the O₂⁻ anion which appears in the cells as its conjugate
15 acid, hydroperoxyl radical (HO₂⁻). O₂⁻ is the most important ROS produced endogenously but
16 does cross membranes only slowly while H₂O₂, which is a relatively long-lived neutral
17 molecule and also HO₂⁻, can diffuse in the symplastic space through membrane aquaporins
18 (see Fig. 1, Bienert *et al.* 2007). H₂O₂ then triggers the formation of OH⁻ radicals which are
19 extremely reactive and are damaging to lipids, pigments, proteins, and nuclear acids but are
20 also considered indispensable for signaling purposes in response to various kinds of stress
21 (Dickinson and Chang 2011; Kangasjärvi and Kangasjärvi 2014).

22 Membrane lipids are the first targets of ROS (Sharma *et al.* 2012). When ROS concentration
23 reaches a certain level, lipid peroxidation of membranes occurs. ROS attack particularly the
24 unsaturated bond between carbon atoms of the phospholipid molecules and the ester linkage
25 between glycerol and fatty acid, leading to membrane leakage and shifts in transduction
26 properties of membranes (Sharma *et al.* 2012). With respect to the photosynthetic apparatus,
27 ROS inhibit the membrane-bound reaction centers in the electron transport chain, inactivating
28 photosynthesis and activating respiration (Foyer and Noctor 2011). Excessive ROS
29 production also modifies amino acids, fragments the peptide chain, aggregates reaction
30 products, alters the electric charge, and increases the susceptibility of proteins to proteolysis
31 (Moller and Kristensen 2004). Proteins differ in their degree of sensitivity getting more
32 delicate with increasing content of thiol groups and sulfur (Stohs and Bagchi 1995). Thus,
33 ROS also have a significant detrimental effect on carbon assimilation because many enzymes

1 of the Calvin cycle possess thiol groups. Overall, protein content in O₃ stressed plants tend to
2 decline (Tiwari and Agrawal 2011). ROS finally induce damages to the nuclear material of
3 the cell (mitochondrial, chloroplastic and cytosolic DNA). Oxidative stress results in
4 deoxyribose strand breakage, removal of nucleotides, modifications in the organic bases of
5 nucleotides, and DNA-protein crosslinks facilitating mutations (Imlay and Linn 1988).
6 Mitochondrial and chloroplast DNA are more susceptible to oxidative damage than nuclear
7 DNA due to a lack of protective proteins, histones, and because of their location close to the
8 ROS producing systems (Richter 1992).

9 Plant sensitivity to ROS damages varies with abiotic conditions such as drought, salinity and
10 soil acidity, high or low temperatures, excess light, or inadequate mineral nutrient supply (Das
11 and Roychoudhury 2014). For example, plants suffering from K deficiency are extremely
12 sensitive to elevated atmospheric O₃ concentrations while O₃ susceptibility is decreased in
13 plants grown at excess nitrogen, phosphorous, or potassium (Singh *et al.* 2010). The specific
14 causes for these reactions are yet unclear but they all have in common that photosynthesis is
15 impaired which on the one hand leads to increased internal [CO₂] and thus stomatal closure
16 (see above), and on the other decreases the level of available chemical energy with likely
17 effects on aquaporin regulation (Maurel *et al.* 2015). This mechanism has also been shown
18 responsible for the fast increase of mesophyll resistance to CO₂ transport (Miyazawa *et al.*
19 2008). Aquaporins are also directly regulated by ROS enabling e.g. optimal water flow related
20 to light intensity (see also Fig. 1, Kim and Steudle 2009) and are thus likely to be affected by
21 ozone stress which has however, not directly been demonstrated.

22

23 **3 Defense responses**

24 **3.1 Stomatal closure**

25 From what has been said before it is clear that stomata pose a primary defense barrier to O₃
26 damages. In the presence of high O₃, stomata decrease their conductance within minutes,
27 probably in response to ROS accumulation, but can fully recover within the following hour
28 (Vahisalu *et al.* 2010). During recovery, oscillations of stomata opening occurs depending on
29 the strength of the initial O₃ pulse which indicates the induction of a counteracting mechanism
30 (Moldau *et al.* 2011). The initial dynamic can be followed by a transient decrease of
31 conductance until equilibrium is reached if the O₃ exposure prolongs. For example, a meta-
32 analysis of 53 peer reviewed studies to assess the response of soybean to an average chronic
33 O₃ exposure of 70 ppb, showed a conductivity reduction of 17% (Morgan *et al.* 2003) and free

1 air fumigation, aimed to double the ambient O₃ concentration, lead to 10-20% decrease in
2 beech trees (Hoshika *et al.* 2015). The phenomenon is generally explained by an increase of
3 internal [CO₂] triggered by a decreased photosynthesis and/or increased internal respiration
4 and is similar to the response under increased ambient CO₂ levels (see Fig. 1).

5

6 **3.2 ROS scavenging**

7 Plants have highly efficient non-enzymatic and enzymatic defense mechanisms capable of
8 detoxifying a substantial amount of ROS (see reviews Das and Roychoudhury 2014;
9 Karuppanapandian *et al.* 2011; Sharma *et al.* 2012). The major enzymatic components of the
10 antioxidative defense system are Superoxide Dismutase (SOD), Ascorbate Peroxidase (APX),
11 Catalase (CAT) and enzymes of the Ascorbate-Glutathione (AA-GSH) cycle. Non-enzymatic
12 antioxidants are tocopherol, carotenoids, and phenolic compounds, which are omnipresent in
13 plant physiological processes and can be viewed as a constitutive defense. Ascorbate and
14 glutathione (GSH) form a system which interacts between apoplast and symplast and which
15 can be increased in response to increased O₃ exposure, thus acting as an induced defense
16 mechanism (Kumari *et al.* 2015). Since constitutive and induced elements of detoxification
17 are multiple and operate mutually in different subcellular compartments and on different time
18 scales, a particular constitutive antioxidant level cannot be determined (De Temmerman *et al.*
19 2002a).

20 The antioxidants present in the apoplast scavenge O₃ and the derived products thereby serve
21 as the first detoxifying barrier of the cells, protecting the membranes from O₃ injury.
22 Apoplastic ascorbate (AA) is the most important antioxidant that is utilized by the plant's
23 defense machinery to protect against O₃ damage by reacting with O₃, O₂⁻ anions, and H₂O₂
24 (see Das and Roychoudhury (2014) and references therein). In fact, the level of AA, is
25 considered to be a good indicator of O₃ tolerance and has been depicted as 'the heart of the
26 redox hub' (Foyer and Noctor 2011). In addition to ascorbate, SOD and APX are two
27 important antioxidative enzymes present in the apoplastic spaces of leaves. The enzyme SOD
28 catalyzes specifically the dismutation of O₂⁻ to O₂ and H₂O₂ which is particularly important
29 because the plasma membranes are very little permeable to charged O₂⁻ anions. Therefore,
30 SOD is intrinsically produced in the apoplast. In contrast, APX is produced only in the cytosol
31 and is then transported to the apoplast using two molecules of AA during the process of
32 scavenging H₂O₂ (Patterson and Poulos 1995).

1 When the production of ROS from the breakdown of O₃ in the apoplast exceeds the apoplastic
2 antioxidative capacity, an endogenous, self-propagating ROS generation process is induced
3 that continues even after O₃ exposure has stopped (Castagna and Ranieri 2009): GSH is
4 synthesized in the cytosol and chloroplasts of plant cells and acts as a proton donor in
5 presence of ROS, yielding GSH disulphide. The most important function of GSH in
6 antioxidative defense is the regeneration of AA through the AA-GSH cycle as described
7 above but it also reacts directly with O₂⁻, OH and H₂O₂ and can therefore function as an
8 additional free radical scavenger. Apart from GSH, thioredoxin and peroxiredoxins detoxify
9 peroxides and regulate redox homeostasis by maintaining the NADPH/NADP⁺ balance. In
10 addition, peroxidases are known to oxidize various substrates utilizing H₂O₂ or organic
11 hydroperoxides (see Bela *et al.* 2015 and references therein).

12 To select the appropriate modelling strategy it is important to remember that some ROS, i.e.
13 H₂O₂, can diffuse through aquaporins and thus ROS produced at a specific cellular site (e.g.
14 chloroplast) during stress can affect other cellular compartments as well. Therefore, a
15 differentiation between compartments within the symplast seems inappropriate if the model
16 does not consider transfer between cell organelles. This is independent of the fact that indeed
17 some ROS scavenging mechanisms exist in separate cell structures as for example CAT,
18 which is found only in peroxisomes. Other investigations have shown that antioxidant
19 enzymes can partly replace each other although the sensitivity to stressors might not be
20 identical. For example plants with suppressed APX production induce SOD and CAT,
21 whereas plants with suppressed CAT production induce APX and GPX (Willekens *et al.*
22 1997).

23

24 **3.3 Formation of volatile organic compounds**

25 During the last 15 years, it became apparent that a number of biogenic volatile organic
26 compounds (BVOCs) play an important role in providing antioxidative defense to the plants
27 against O₃ stress (Loreto *et al.* 2004; Possell and Loreto 2013; Velikova *et al.* 2012). O₃ can
28 induce the production of all kinds of BVOCs (see review of Calfapietra *et al.* (2013) and
29 references therein as well as various other publications such as Bourtsoukidis *et al.* (2012)).
30 The experimental results are not yet fully conclusive since some studies have found no
31 induction of BVOC emissions (Hartikainen *et al.* 2009) or even a negative impact of O₃
32 exposure on isoprene (Calfapietra *et al.* 2008) or terpene emission (Llusia *et al.* 2014).
33 Velikova *et al.* (2005) states that isoprene is only stimulated if O₃ stress affects

1 photosynthesis. This indicates that the degree of induction depends on O₃ concentrations,
2 species and the effectiveness of constitutive defense mechanisms.

3 Isoprenoid and monoterpene biosynthesis mainly occurs in mature chloroplasts through the
4 methylerythritol 4-phosphate (MEP) pathway. Monoterpenes and other terpenes are also
5 produced in the cytosol from precursors produced in mitochondria and peroxisomes (Vickers
6 *et al.* 2014). The formation of terpenoids can be stimulated by jasmonates, which in turn can
7 originate from ROS degeneration (Spinelli *et al.* 2011). It has also been suggested to explain
8 the formation of isoprenoids by increases in phosphoenolpyruvate production (Dizengremel *et*
9 *al.* 2012). Phosphoenolpyruvate serves as substrate for the MEP pathway and is amplified by
10 O₃ exposure (Valkama *et al.* 2007). Increased emissions of oxygenated defense related
11 volatile oxylipins can be explained by the stimulation of the lipoxygenase pathway due to the
12 peroxidation of membrane lipids by O₃ or other ROS (e.g. Porta and Rocha-Sosa 2002).

13 The beneficial impact of BVOCs on the sensitivity of plants to O₃ stress has been shown in a
14 number of experiments. It starts already in the gas phase outside the leaves when O₃ is
15 destroyed by reactions with isoprenoids in low NO_x atmospheres (Fares *et al.* 2008).
16 Exposure to high O₃ led to less cellular damage and less damage to the photosynthetic
17 processes when isoprene was provided simultaneously, and higher damage occurred when
18 isoprene emission was suppressed (Behnke *et al.* 2009). Furthermore, experiments using
19 transgenic tobacco plants confirmed that isoprene-emitting plants show increased resistance to
20 ozone-induced oxidative stress (Vickers *et al.* 2009). The latest evidence that the protective
21 role of isoprene originates at least partly from the capability to quench ROS has been
22 provided by the finding of isoprene oxidation products within the leaves (Jardine *et al.* 2012).
23 Although some of the oxidation products are actually toxic, the enhanced detoxification rate
24 leads to a reduction of visible, physiological, anatomical, and ultrastructural (chloroplast)
25 damage when exposed to O₃ (Possell and Loreto 2013; Velikova *et al.* 2005).

26 Apart from direct detoxification, a stabilizing impact of isoprenoids on membranes is
27 supposed to play a key role in their protective impact against oxidative stress (Velikova *et al.*
28 2014; Velikova *et al.* 2015). For example, the synthesis of zeaxanthin, an isoprenoid which is
29 derived from β -carotene and known to increase the rigidity of membranes, is preferred under
30 stressful conditions (Brunetti *et al.* 2014). Very recently, however, Harvey *et al.* (2015)
31 showed that even in high emitter species internal isoprene concentration might not be
32 sufficient to affect membrane lipids but instead suggested that thylakoid embedded proteins
33 are modulated, indicating a more direct link to membrane conductance than previously

1 thought. In addition, the isoprenoid impact on thylakoid membranes seems to reduce the
2 formation of ROS (Velikova *et al.* 2012). Since isoprene is very volatile, it can be assumed
3 that this effect is confined to the membranes closest to its origin, which are those of the
4 chloroplasts.

5

6 **4 Considering feedbacks for modelling**

7 In contrast to leaf-scale approaches, most simulations to estimate O₃ damage at global and
8 regional scales are based on concentration-response functions, where productivity losses
9 depend on O₃ concentrations or accumulated O₃ exposure as independent variables (e.g.
10 Avnery *et al.* 2011). Only in few cases, stomatal conductance has been accounted for to
11 calculate the actual amount of damaging agents that reach the sensitive leaf structures
12 (Lombardozzi *et al.* 2015; Sitch *et al.* 2007) but induced defense mechanisms that may
13 provide important feedbacks have not yet been considered (see Fig. 2). To provide accurate
14 estimates of agricultural and forest production responses to high O₃ concentrations, it seems
15 essential to consider plant vulnerability that varies with abiotic or biotic conditions. Such
16 differentiated impacts can only be simulated if direct and indirect defense mechanisms such as
17 stomatal closure, ROS scavenging and dynamic changes in membrane susceptibility are
18 accounted for. In the following sections, these mechanisms will be separately discussed.

19

20 **4.1 Modelling stomatal conductance**

21 Since stomatal conductance has been considered a main influencing factor to O₃ impacts,
22 models have been developed to describe this process (e.g. Emberson *et al.* 2000). This is
23 based on the concept that conductance for O₃ is similar to that of CO₂ (Van Oijen *et al.* 2004),
24 which has formerly been derived directly from environmental conditions (Jarvis and
25 McNaughton 1986) or indirectly from photosynthesis (Ball *et al.* 1987). Thus, uptake is
26 calculated from air concentrations of O₃ at the leaf surface using the implicit assumption that
27 O₃ is almost instantly removed from the stomata cavities (Laisk *et al.* 1989). However, 1) the
28 assumption of instant O₃ removal might not actually be valid (Moldau and Bichele 2002) and
29 2) O₃ also affects stomatal responses as discussed above, leading to non-linear developments
30 of conductivity with time and exposure.

31 The first issue links O₃ uptake to oxidation capacity within the cells and thus to terpenoid
32 production and is not considered in models yet (Loreto and Fares 2007). The second issue is

1 only considered in model approaches that account for long-term (cumulative) O₃ impacts. The
2 latest elaboration of this approach has been presented by Kinose et al. (2014), who improved
3 stomata responses for various tree species considering also short-term impacts of O₃
4 concentration:

$$6 \quad g_s = g_{\max} \times \min(f_{\text{phen}}, f_{\text{O}_3\text{c}}) \times f_{\text{light}} \times \max[f_{\min}, (f_{\text{temp}} \times f_{\text{VPD}} \times f_{\text{SWC}} \times f_{\text{O}_3\text{i}} \times f_{\text{transp}})] \quad (1)$$

7
8 where g_{\max} is the maximum stomatal conductance to water vapor. The limiting functions (f_{phen} ,
9 f_{O_3} , f_{light} , f_{temp} , f_{VPD} , f_{SWC} , etc.) are scaled from 0 to 1 as a proportion of g_s to g_{\max} . The
10 following limitations on stomatal conductance are represented: f_{phen} - leaf phenological
11 changes with aging, $f_{\text{O}_3\text{c}}$ - cumulative stomatal uptake of O₃, f_{light} - photosynthetic photon flux
12 density, f_{\min} - minimum stomatal conductance, f_{temp} - air temperature, f_{VPD} - vapor pressure
13 deficit, f_{SWC} - soil water content, $f_{\text{O}_3\text{i}}$ - acute effect of O₃, f_{transp} - leaf water loss; for detailed
14 equations to derive these factors see Kinose et al. (2014).

15 Despite the fact that the described approach, which is based on a formulation originally
16 developed by Jarvis and McNaughton (1986), is very widespread in ecological modelling, it is
17 prone to two basic criticisms: First, a multiplicative function neglects the interactions between
18 the specific influences which are more likely the more impacts are considered. Second, the
19 response functions are empirically derived on a phenomenal level, requiring a new,
20 experiment-based parameterization for every species and each new influencing factor. In
21 complex ecosystems and scenario simulations that go beyond currently experienced
22 environmental conditions, a mechanistic approach that inherently considers physiological
23 interactions and can be parameterized with inherent species-specific properties is preferable,
24 although it might not be tractable on a regional scale (Gustafson 2013).

25 Such a mechanistic approach has been investigated by Lombardozzi et al. (2012) who have
26 investigated stomata responses under cumulative O₃ exposure and simulated it with either an
27 empirical approach or the coupled Farquhar/ Ball-Berry model, which assumes that
28 conductance is linked to photosynthesis via internal [CO₂]. The overall response could only
29 be explained by considering direct as well as indirect (due to photosynthesis reduction) O₃
30 effects. This, however, doesn't take the sluggishness or hysteresis effect into account that
31 results in more O₃ uptake after sufficient cumulative exposure. A model to include this
32 feedback impacts has been suggested by Hoshika et al. (2014; 2012) who found that ozone-
33 induced impairment of stomatal control was better explained by O₃ flux per net

1 photosynthesis than by flux only. For more information on the determination of surface O₃
2 and the scaling from leaf to canopy, we refer to other publications and references therein (see
3 Bryan and Steiner 2013; Karnosky *et al.* 2005).

4

5 **4.2 Representation of physiological responses**

6 **4.2.1 Oxidative damage and detoxification**

7 O₃ impacts are generally simulated in a lumped fashion, assuming a linear decrease of net
8 primary production with O₃ uptake above a defined threshold (De Andres *et al.* 2012; Ewert
9 and Porter 2000; Sitch *et al.* 2007; Van Oijen *et al.* 2004). The approach implicitly assumes
10 that defense is only constitutive so that it is immediate, all costs for defense formation are
11 already considered with the standard rate of maintenance respiration, and that the magnitude
12 of the effect is independent of environment or physiological state. However, the concept
13 neglects that, despite the presence of one or more constitutive detoxification systems,
14 additional antioxidative agents or enzymes are induced when plants are exposed to O₃.
15 Modelling might or might not consider that the antioxidant defense may be temporally set,
16 with enzymatic antioxidants and isoprenoids acting in different periods of the day (Fares *et al.*
17 2010). Thus, ROS degradation is increased compared to the scavenging of a constitutive
18 defense system alone (Iriti and Faoro 2009). Such a mechanism can increase the threshold of
19 O₃ uptake without any visible damage but decreases net primary productivity. Recent
20 measurements from soybean cultivars support this mechanistic view (Betzberger *et al.*
21 2012). It might be sensible to differentiate between three inductive systems of defense:
22 apoplastic (e.g. ascorbate), symplastic (e.g. SOD) and isoprenoid related. Functionally, the
23 first system increases the scavenging capacities while the second and third may additionally
24 increase membrane stability. Only when the defense capacity or regeneration speed is
25 insufficient, such a detoxification strategy cannot prevent damage to photosynthesis. Thus,
26 either very intense stress or prolonged exposure may lead to a shortage of chemical energy
27 and a breakdown of defense. The result is a decrease in enzyme integrity and a destruction of
28 structural cell components.

29 The most detailed biochemical model which is intended as a potential module within an
30 ecosystem model explicitly describes the reaction of O₃ with ascorbate as detoxification
31 process and takes into account the regeneration of this agent in dependence of various cell
32 properties (Plöchl *et al.* 2000). A less detailed approach has been used within an ecosystem

1 model by Deckmyn et al. (2007) who proposed to account for a reduction in carboxylation
2 rate (V_{cmax}) as well as for detoxification costs due to enhanced respiration rates (R_{rep}):

3

$$4 \quad V_{cmax_{O_3}} = V_{cmax} - (SOZ \times f_{eff} \times V_{cmax}/100\%) \quad (2)$$

$$5 \quad R_{rep} = CREP \times [(V_{cmax} - V_{cmax_{O_3}}) / V_{cmax}] \times 100\% \quad (3)$$

6

7 where $V_{cmax_{O_3}}$ denoting the maximal carboxylation rate under the experienced O_3 regime.
8 SOZ is a species-specific parameter describing reduction in V_{cmax} per unit daily effective O_3
9 flux (%). f_{eff} is the effective stomatal O_3 flux per unit leaf area which is calculated in
10 dependence on stomatal conductance. $CREP$ is the carbon necessary to repair a certain
11 amount of damage to V_{cmax} (for more comprehensive explanations see Deckmyn et al.
12 (2007)). The linear response of V_{cmax} on O_3 is in accordance with observations (e.g.
13 Betzelberger *et al.* 2012) although also exponential decreases have been measured
14 (Goumenaki *et al.* 2010). Both, the Plöchl as well as the Deckmyn model however fail to
15 describe an increased detoxification capacity in dependence on stress as outlined by Heath et
16 al. (2008).

17

18 **4.2.2 BVOC formation and membrane stabilization**

19 Dependencies of plant isoprenoid emissions to environmental factors have been described
20 already more than 30 years ago (Tingey 1979). However, O_3 is not yet among them which is
21 surprising, given the presented findings making isoprenoid production a likely candidate for a
22 defense system that could be mechanistically linked to models of stomata- and photosynthesis
23 processes (Pinto *et al.* 2010). Only few suggestions have been made to represent BVOC
24 emissions in dependence of O_3 uptake or concentration so far. Calfapietra et al. (2009)
25 suggested an empirical linkage between BVOC emission and O_3 which stimulates emission at
26 low and inhibits it at high doses. For sesquiterpene emission of spruces, Bourtsoukidis et al.
27 (2012) proposed an exponential emission response to increasing ambient O_3 concentrations.
28 These approaches follow the general logic of most emission models, which view the emission
29 process as an independent physiological process that does not account for activity changes
30 throughout the year and is supposed to have only negligible respiration costs.

31 Therefore, in addition to O_3 impact on stomatal behavior, we suggest three lines of
32 development to improve the relationship between isoprenoid emissions and O_3 stress in

1 process-based ecosystem models (depicted in Fig. 3). First, new modelling options might arise
2 from more mechanistic approaches that link emission to photosynthesis such as suggested by
3 Morfopoulos et al. (2014) and Grote et al. (2014). This model states that isoprenoid formation
4 increases when photosynthesis is decreased (e.g. due to stress impact on V_{cmax} as depicted in
5 Fig. 4 a and c) because the reducing power which is still produced by photosystem II is
6 accumulating under these conditions and can be channeled into BVOC synthesis. The impact
7 is sensitive to the degree of stress but responds only slightly to the kind of stress function
8 applied (see linear and exponential stress responses depicted in Fig. 4). This approach could
9 offer a mechanistic explanation for observed phenomena and at the same time provides an
10 explanation for decreasing emission in response to increasing $[CO_2]$ (which would increase
11 V_{cmax}). Current research indicates that this might apply particularly to isoprenoids but other
12 BVOCs might be affected by means of the same limitation process. Second, seasonal
13 dynamics might be described with a more mechanistic approach that changes potential
14 emissions (emissions observed under defined light, temperature and CO_2 conditions without
15 further stressors) dynamically as a cumulative function of enzymatic synthesis and
16 degradation. Such a model is described in Lehning et al. (1999) but instead of enzymatic
17 activity cumulatively building up with temperature, effective O_3 uptake could trigger the
18 increase in detoxification capacity, similar as has been observed by various authors (Dumont
19 *et al.* 2014; Kumari *et al.* 2015; Rozpádek *et al.* 2013). This capacity can then serve as a
20 threshold beyond which photosynthesis is assumed to be damaged. Based on the findings of
21 membrane stabilization by means of isoprenoids, we finally propose that the O_3 damaging
22 impact is decreased due to increasing isoprenoid production, which in effect also decreases its
23 emission into the atmosphere and explains findings of decreased emission under high O_3
24 regimes. We are proposing this simplifying modelling procedure fully aware of the fact that
25 the production of specific compounds may vary with species and environmental boundary
26 conditions and that the detailed mechanisms are not fully understood yet (Brunetti *et al.*
27 2014).

28

29 **4.3 Recovery and environmental boundary conditions**

30 A plant's sensitivity to oxidative stress also depends upon its ability to recover from O_3
31 injury. Recovery is possible if damages are not severe and the rate of scavenging of ROS is
32 larger than its formation rate. The length of the night with low O_3 concentration and impeded
33 O_3 uptake by lacking air-mixing is important to prevent the plants from chronic injury

1 (Matyssek *et al.* 2015). This may be a possible reason why plants are more susceptible to O₃
2 damage in summer in Nordic countries (De Temmerman *et al.* 2002b). Although literature
3 indicates that in some cases, as for example due to air mass transport, O₃ concentration can
4 still be high during night we suggest using length of night as a proxy to describe plant's
5 recovering ability. This view is corroborated by findings that O₃ decreases during nighttime
6 may originate from non-stomatal deposition rather than uptake (Launiainen *et al.* 2013).

7

8 **5 Conclusion**

9 Ozone interacts with weather because warm and sunny conditions favor O₃ formation and
10 high photosynthesis but decrease water availability and thus stomatal conductance. Therefore
11 the role of stomatal behavior is essential, but has been only partly considered in current
12 ecosystem model approaches. Another feedback that needs to be further examined and
13 considered is that ROS play a major role in stimulation of the plant's defense mechanism.
14 Although the interactions that are outlined in the text and in Fig. 2 may still be too complex to
15 be added to an ecosystem model, it may be well possible to use a more condensed version of
16 this mechanism. In Fig. 3 we thus suggest how a conceptual model of defense against
17 oxidative stress which could be coupled to basic processes (photosynthesis, leaf longevity,
18 stomatal conductance) that are generally considered in ecosystem models. The impacts of
19 radiation, temperature, and water availability are indirectly accounted for because isoprenoid
20 production, stomatal conductance, and detoxification processes are mechanistically described.
21 Modelling could be strongly supported by experimental research, helping to quantify the
22 induced generation of isoprenoids and the effectiveness of antioxidative substances in
23 scavenging ROS. We assume that particularly isotopic techniques and related methodologies
24 could greatly enhance our understanding of the phenomenon. We also strongly encourage
25 model developers to consider the respiratory costs of the defense actions explicitly and
26 introduce a two-way linkage between isoprenoid emission and photosynthetic activity.
27 Although long-term (chronic) effects are not explicitly considered here, the description of
28 oxidative stress mechanisms suggest that that the enzymatic activity of basic defense systems
29 should account for a time-dependency of activation (or degradation) state. Therefore, current
30 approaches used for describing seasonal dependencies of emission activity as described for
31 example in Monson *et al.* (2012) may be useful.

32 A description of O₃ impacts that accounts for more internal feedbacks and thus implicitly
33 considers a range of environmental conditions in addition to the O₃ concentration is beneficial

1 for two reasons. First, it would provide a more reliable estimate of productivity losses in
2 agriculture and forestry, particularly under changed climatic conditions. Second, an integrated
3 approach would provide consistent input of O₃ deposition and VOC emission from the
4 biosphere into coupled climate-air chemistry models.

5

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8

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2 ozone affect photosynthesis and stomatal conductance of trees? A meta-analytic review of
3 the last 3 decades of experiments. *Plant, Cell & Environment* **30**(9), 1150-1162.

4

5

1 Figure captions

2 Fig. 1: ROS impact in the apoplast and symplast. Arrow colors are selected to indicate
3 transport (blue), decreasing impact (red), increasing impact (black), and optimum relationship
4 (green). The optimum relationship indicates that mesophyll conductance is likely to increase
5 with ROS concentration until aquaporins or other active transport mechanisms are damaged
6 so that conductance is decreasing again (see section 2.2). SC and MC stand for stomatal and
7 mesophyll conductance, respectively. Broken lines indicate less important transport routes.

8 Fig. 2: Pathways of ozone detoxification in the leaves. Reactive oxygen species (ROS)
9 originate from O_3 which is taken up or endogenously produced and is detoxified in the
10 apoplast (APO) or transported to the symplast (SYM) where it also can be generated and
11 detoxified. Once in the symplast it enhances the detoxification capacity by increasing a) the
12 ascorbate (AA) – glutathione (GSH) cycle (and other synthesis pathways), and b) the
13 production capacity of isoprenoids (ISO). (Pools are presented as rectangles, valves indicate
14 that the process is a mechanistically defined, clouds represent precursors and products that are
15 not specifically defined, thick arrows represent matter flows, thin arrows indicate modifying
16 impact).

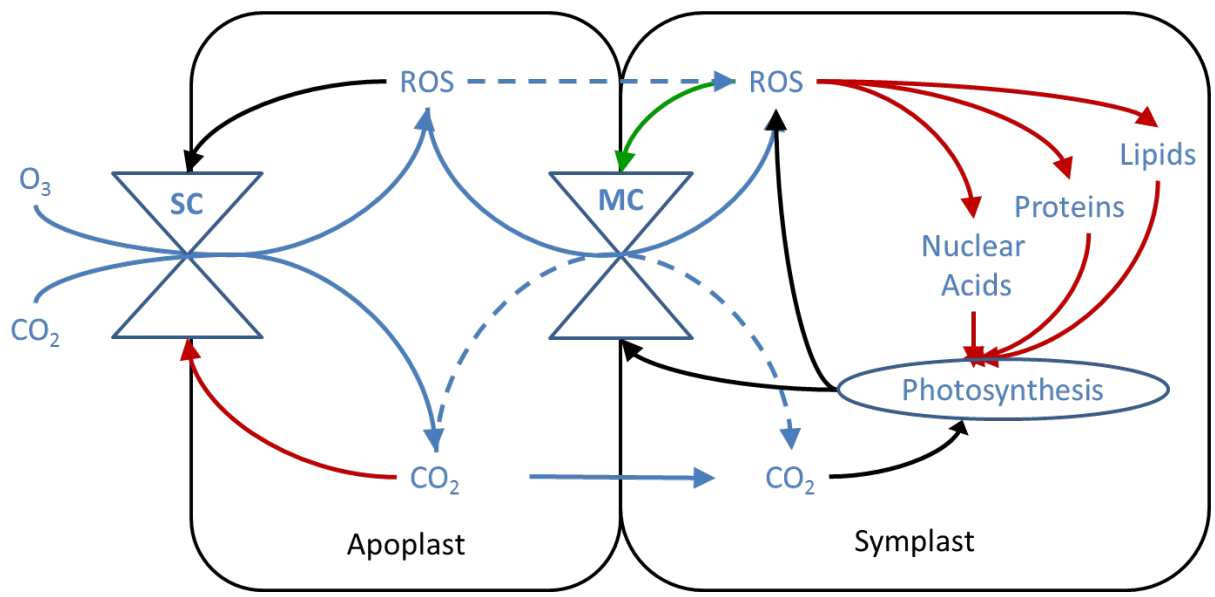
17 Fig. 3: Conceptual model of three defense mechanisms: Stomatal conductance (red), induced
18 defenses (green), and tissue protection (orange). Isoprenoid formation is considered as
19 protecting tissue neglecting detoxification properties, and is induced by oxidative stress (in
20 addition to other impacts). Damage relates to photosynthesis activity, leaf longevity or other
21 processes. Feedbacks to stomatal conductance and isoprenoid production are depicted in Fig.
22 2. Wide arrows represent matter fluxes and thin arrows influences. Processes are shown in
23 white boxes. R-COH indicates aldehydes as an example of detoxification end-products.

24 Fig. 4: Simulated increases in isoprenoid emission rate (B and D) with decreasing V_{cmax} (A
25 and C) in response to ozone exposure (expressed as AOT40 which is introduced as 'y' in the
26 equation). The JIV model was applied with a standard parameter set for photosynthesis as
27 described in Grote et al. (2014). V_{cmax} has been reduced linearly (A and B, expressed with
28 the parameter p_l) or exponentially (C and D, expressed with the parameter p_e) as both
29 response types have been described in literature (equations are given in the figure, see section
30 4.2 for literature references).

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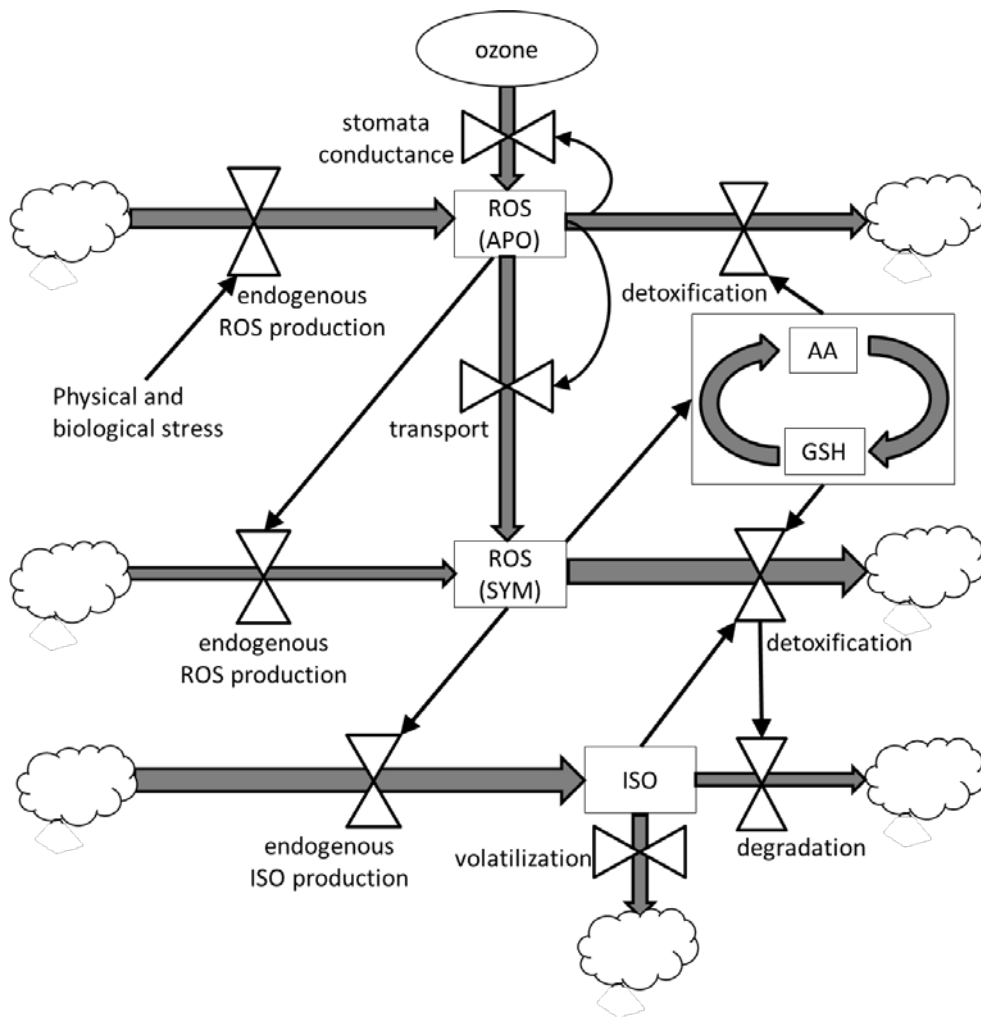


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3 Figure1: ...

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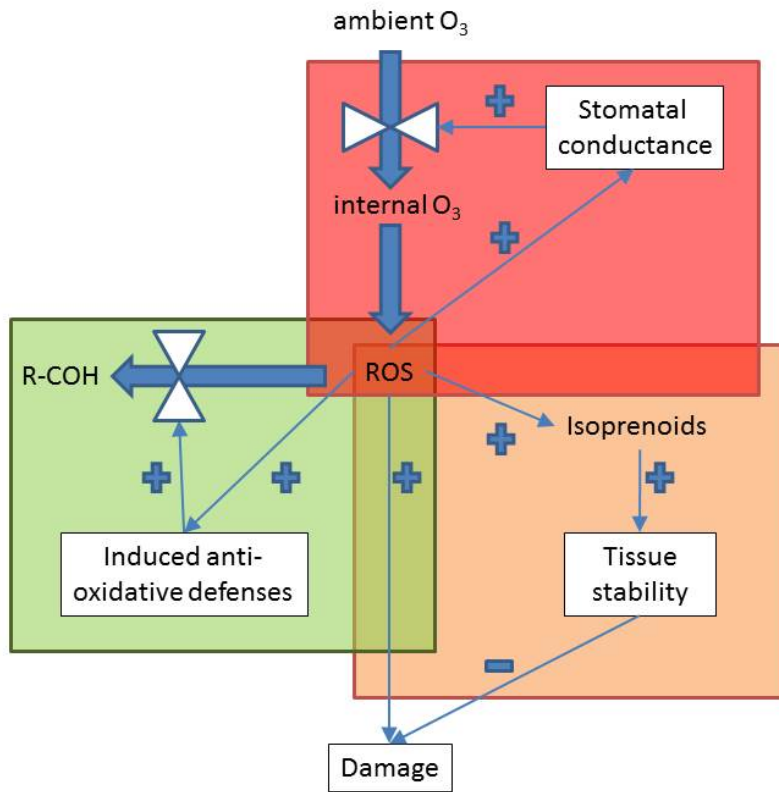


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3 Figure2: ...

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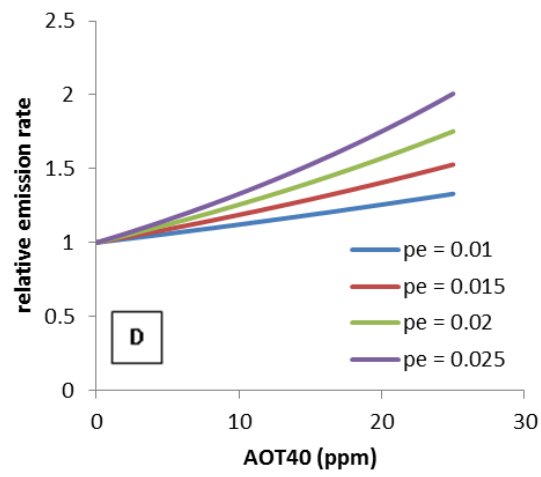
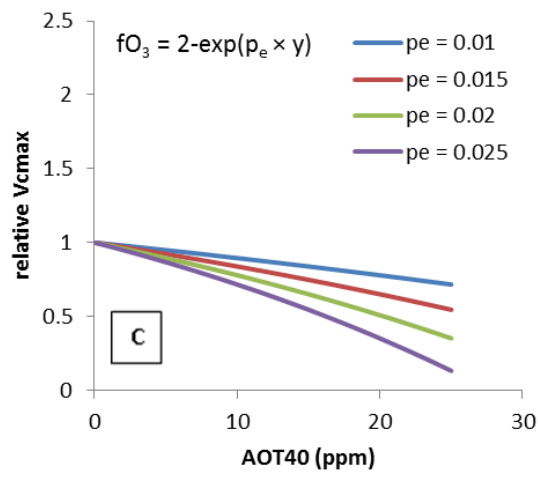
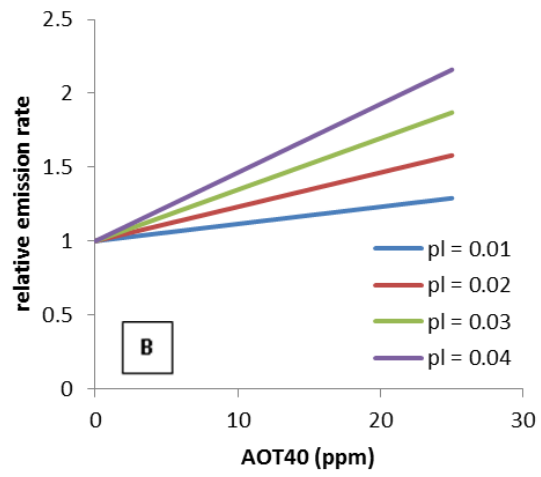
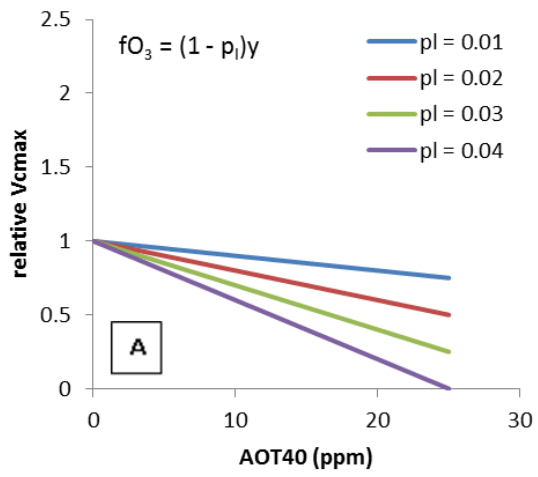


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3 Figure 3: ...

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3 Figure 4: